



Local Government Performance Assessment

Bukomansimbi District

(Vote Code: 600)

Assessment	Scores
Crosscutting Minimum Conditions	81%
Education Minimum Conditions	100%
Health Minimum Conditions	30%
Water & Environment Minimum Conditions	70%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	68%
Educational Performance Measures	38%
Health Performance Measures	52%
Water & Environment Performance Measures	72%
Micro-scale Irrigation Performance Measures	23%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>As per the FY 2019/2020, below were the list of DDEG projects that were spent as per DDEG Grant Budget and Implementation Guidelines:</p> <p>Construction of administration UGX 15,336,551 in the Approved budget page 49 ;</p> <p>Retention of emergency works UGX 1,500,000 ;</p> <p>Construction of 4 stance pit at Bunyenya Primary School in Bibinge Sub county UGX 22,700,000 page 49 ;</p> <p>Construction of staff houses at Butenga H/C 4 in Butenga S/C UGX 25,682,467 page 49 ;</p> <p>Procurement of Projector and a screen for planning unit UGX 3,500,000 page 50 ;</p> <p>Procurement of Laptop for CAO office UGX 2,500,000 page 49 ;</p> <p>Procurement of Desktop Computers with UPS fo Finance Office UGX 4,800,000 page 49 ;</p> <p>Procurement of 100 plastic chairs for District Headquarters UGX 3,000,000 page 49 ;</p> <p>Procurement and supply of assistive devices for PWD UGX 8,023,522 page 49 ;</p> <p>Monitoring cost UGX 2,044,873 ; and</p> <p>Investment service costs UGX 3,731,894.</p>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	Not applicable	0

Service Delivery
Performance

Maximum 6 points on
this performance
measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

There was evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract. The LG received UGX 92,948,797 and spent 100% as follows:

Construction of administration UGX 15,336,551 in the Approved budget page 49 ;

Retention of emergency works UGX 1,500,000 ;

Construction of 4 stance pit at Bunyenya Primary School in Bibinge Sub county UGX 22,700,000 page 49 ;

Construction of staff houses at Butenga H/C 4 in Butenga S/C UGX 25,682,467 page 49 ;

Procurement of Projector and a screen for planning unit UGX 3,500,000 page 50 ;

Procurement of Laptop for CAO office UGX 2,500,000 page 49 ;

Procurement of Desktop Computers with UPS fo Finance Office UGX 4,800,000 page 49 ;

Procurement of 100 plastic chairs for District Headquarters UGX 3,000,000 page 49 ;

Procurement and supply of assistive devices for PWD UGX 8,023,522 page 49 ;

Monitoring cost UGX 2,044,873 ; and

Investment service costs UGX 3,731,894 .

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

As per the FY 2019/2020, below were the list of DDEG projects that were spent as per DDEG Grant Budget and Implementation Guidelines:

Construction of administration UGX 15,336,551 in the Approved budget page 49 ;

Retention of emergency works UGX 1,500,000 ;

Construction of 4 stance pit at Bunyenya Primary School in Bibinge Sub county UGX 22,700,000 page 49 ;

Construction of staff houses at Butenga H/C 4 in Butenga S/C UGX 25,682,467 page 49 ;

Procurement of Projector and a screen for planning unit UGX 3,500,000 page 50 ;

Procurement of Laptop for CAO office UGX 2,500,000 page 49 ;

Procurement of Desktop Computers with UPS fo Finance Office UGX 4,800,000 page 49 ;

Procurement of 100 plastic chairs for District Headquarters UGX 3,000,000 page 49 ;

Procurement and supply of assistive devices for PWD UGX 8,023,522 page 49 ;

Monitoring cost UGX 2,044,873 ; and

Investment service costs UGX 3,731,894 .

Total budget UGX 92,948,797

Total Spent UGX 92,948,797

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,

score 2 or else score 0

There was evidence that the variations in the contract prices and Engineers estimates for the DDEG funded projects for the FY 2019/2020 sampled were within the range of +/-20% as detailed below:

1. Construction of a 5-stance lined pit latrine at Buyenya P/S in Kibinge S/S (Buko600/wrks/19-20/00008) with a contact price of UGX 22,700,000 and Engineer's estimate of UGX 20,000,000 The variation in the two prices was -13.5%;
2. Phased construction of staff houses at Butenga HC IV in Butenga S/C (Buko600/wrks/19-20/00009) with a contact price of UGX 25,391,240 and Engineers estimate of UGX 25,500,000. The variation in the two prices was; 0.43%.

Note 1: Formula used was Variation = (Engineers Estimate – Contract Price)/Engineers Estimate) *100.

Note 2: Only 2 DDEG projects were done in FY 2019/2020.

Performance Reporting and Performance Improvement

Accuracy of reported
information

Maximum 4 points on
this Performance
Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,
score 2 or else score 0

There was evidence that the staffing was in place as per minimum standards. This was evidenced with staff lists of Kibinge sub county displayed on the notice board and the TPC minutes dated 17/3/2020, 7/1/2020, 12/12/2019, and 22/10/2019.

The staff lists at the Butenga sub county notice board and TPC minutes dated 18/07/2019, 25/10/2019, and 17/02/2020.

The staff list displayed at Bukomansimbi TC and TPC minutes dated 3/3/2020, 5/11/2019, 14/01/2020.

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>There was evidence that infrastructure constructed using the DDEG was in place as per reports produced by the LG as follows:</p> <p>Construction of administration UGX 15,336,551 project was completed .The following works were done at the end of the phase; walling of the 1st floor and fixing of windows and a door. Payments were done and retention funds paid.. Project was completed 100%</p> <p>Construction of 5 stance pit at Bunyenya Primary School in Bibinge Sub county UGX 22,700,000</p> <p>5 stances were constructed and completed with a provision of a stance for PWDs. The pit latrine was clearly labelled. Retention funds would be paid in F/Y 2020/21. Project was completed 100%</p> <p>Construction of staff houses at Butenga H/C 5in Butenga S/C UGX 25,682,467. Project was completed and already occupied by Health workers. Payment was done. Project was completed 100%</p>	2
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	Not applicable	0
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	Not applicable	0

5	Reporting and Performance Improvement Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY: Score 2 or else score 0	Not applicable	0
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Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED. Score 2 or else score 0	There was evidence that the district submitted the staffing requirements for the coming fy on 25th September 2020. Ref CR/D/115/1 Dated on 21st September 2020	2
7	Performance management Maximum 5 points on this Performance Measure	a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI): Score 2 or else score 0	There was evidence that the district has conducted a tracking and analysis of staff attendance for the period: July – December 2019. <ul style="list-style-type: none"> • Submission of attendance analysis for first quarter financial year 2019/20 on 30th September 2019. • Submission of attendance analysis for second quarter financial year 2019/20 on 31th December 2019. 	2
7	Performance management Maximum 5 points on this Performance Measure	i. Evidence that the LG has conducted an appraisal with the following features: HODs have been appraised as per guidelines issued by MoPS during the previous FY: Score 1 or else 0	There was evidence that the heads of department had been appraised by CAO, some were Namazzi Kevin appraised by Masereka Asuman on 30/6/2020, Iwanga Bazzekuketta by Masereka Asuman on 7/7/2020. Ssekanwagi George William appraised by Kasozi Sulaiman, Lunyolo Getrude on 16/7/2020 by masereka Asuman, Nakamoga Mariam Mutemba by Masereka Asuman on 30/6/2020.	1
7	Performance management Maximum 5 points on this Performance Measure	ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines: Score 1 or else 0	There were no administrative rewards and sanctions recommended for implementation.	1

7	Performance management Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional. Score 1 or else 0	There was no evidence that the LG established a Consultative Committee (CC) for staff grievance redress which is functional (handled/considered any case)	0
8	Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: Score 1.	There was evidence that the staff that had been recruited accessed the payroll some of these were Namirembe Ritah assumed duty on 6/3/2020 and accessed the pay roll in May 2020, Mulema Paul assumed duty on 6/3/2020 and accessed in May, Nabukera Shadia, Kavuma Stephen, Ssebagala Baker all joined in march and accessed the pay roll in May.	1
9	Pension Payroll management Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: Score 1.	There was evidence that the staff that retired had accessed the pension pay roll not later than two months. These included Ntabazi kankaka, Nandaula Jane, Nansukusa Eva Jjingo, Atuhairwe Stephen, Kasule Rebecca Kintu and Kiwungulo Patrick.	1

Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY: Score 2 or else score 0	There was evidence that the LG transferred DDEG of UGX 642,519,345 to the LLGs as per requirements in the budget for the FY 2019/2020. And the transfers were as below: Quarter 1 warranted on 9/07/2019, release was on 30/07/2019 UGX 214,173,115 , 18days; Quarter 2 warranted on 2/10/2019, release was on 14/10/2019 UGX 214,173,115 , 10days; and Quarter 3 warranted on 08/01/2020, release was on 06/02/2020 UGX 214,173,115, 25days.	2
10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery Maximum 6 points on this Performance Measure	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: Score: 2 or else score 0	As per LG approved Budget the amount of DDEG was UGX 642,519,345 Quarter 1 warranted on 9/07/2019, release was on 30/07/2019 UGX 214,173,115 , 18days; Quarter 2 warranted on 2/10/2019, release was on 14/10/2019 UGX 214,173,115 , 10days; and Quarter 3 warranted on 08/01/2020, release was on 06/02/2020 UGX 214,173,115, 25days.	0

10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG communicated ALL DDEG transfers for the FY 2019/2020 as follows:</p> <p>Quarter 1. 30/07/2019 ref: C UGX 214,173,115 date of invoicing 9/07/2019 20days ;</p> <p>Quarter 2. 14/10/2019 Ref: UGX 214,173,115 invoicing date 02/10/2019 8days; and</p> <p>Quarter 3. 06/02/2020 ref UGX 214,173,115 invoicing date 8/01/2020, 25days</p>	0
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence of supervision/mentorship as below:</p> <p>1st quarter support supervision LLG minimum condition for performance measures on from 16th to 20th September 2019;</p> <p>3rd quarter, technical supervision of NAADS/operation wealth creation and extension interventions in LLG , training was done by production and planning unit on 10th /01/2020 ;</p> <p>Mentoring on the utilisation and alignment of district statistical indicators in the district statistical abstract from 22- 26 of June 2020 in the fourth quarter ;</p> <p>Mentoring of parish chiefs and town agents in participatory planning, budgeting and monitoring in LLGs 21st Sept/ 2020 ;</p> <p>Technical monitoring of DDEG projects in the Districts on 16th /12/2019; and</p> <p>Monitoring and supervision of revenue collection in LLG .</p>	2

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

There was evidence that the TPC met and discussed the reports as follows:

Meeting held on 21/08/2019 Min 4/TPC/Oct/2019

The internal assessment results of the were discussed, quarterly performances, different proposed priorities from the LLG to be implemented

TPC meeting held on 29/01/2020 under min 5/TPC/JAN/2020.

The implementation of different projects in the districts including DDEG project

Operation wealth creation (06/TPC/JAN/2020. Major recommendation for TPC follow from the technical supervision report from the operation wealth creation and extension operation LLG- increasing of adaption rate of improved agriculture technology, increasing production and productivity of agriculture products.

Needs for proper monitoring of OWC inputs like heifers distributed in order to prepare for secondary distribution

TPC meeting held on 30th /09/2020 minute no 4/TPC/SEP/2020

Status reports from the mentoring exercise of parish chiefs/ town agents in participatory planning, budgeting and monitoring, recommendation- more training on planning guideline and policies, dissemination of planning information

Emphasis on data provision from the national and the district level.

Investment Management

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0

There was evidence that the LG maintains a detailed and up-to date assets register for the FY 2019/2020 hard copy as detailed below:

Land, mailo land ownership

Medical equipment;

Machinery;

Furniture and Fittings;

Office Equipment;

ICT Equipment;

Plant and Machinery;

Transport Equipment;

Buildings.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:

Score 1 or else 0

The LG prepared Board of Survey report for the FY 2018/2019 There was however no sufficient evidence to show that the LG used the report as a source of guidance on procurement, maintenance and disposal of assets. There was however some information of assets with findings and recommendations as follows:

There was no update of asset register at the departmental level ;

Some assets not engraved ; and

Report of the survey stores, inventories and assets.

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.

The LG submitted only 2 sets of minutes of Physical Planning Committee (PPC) to the MOLHUD.

As follows:

The LG submitted all the 2 sets of report as follows:

First quarter was submitted on 20th /12/2020

Second Quarter was submitted on 11/09/2019.

The committee members of PPC was properly constituted and they were appointed on 12/07/2018.

The committee members were:

Physical Planner

District Education Officer;

District Health Officer;

District Agriculture Officer;

District Community Development Officer;

District Natural Resources Officer;

District Water Officer;

District Engineer;

District Environmental Officer; and

Town Clerk of Bukomansimbi town Council.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the District conducted a desk appraisal for all projects in the budget and the prioritised investments as follows:</p> <p>Construction of the District administration block desk appraisal 14/07/2020</p> <p>Field appraisal on 22/07/2020 ;</p> <p>Routine mechanised maintenance of Bulenge - Bulire road , the desk was on 15/07/2020 and field was on 22/07/2020 ;</p> <p>Construction of rain water harvesting tank at Kyakajuyinga primary school in Kitanda sub county field appraisal was on 22/7/2020 desk appraisal was on 14/07/2020 ;</p> <p>Completion of staff houses at Butenga Health Center IV the desk was on 9/7/2019 and field 17/7/2019 and</p> <p>Construction of 5 stance lined pit latrine at Bunyenya Primary School, desk was on 9th /7/2019 and field on 17/7/2019 .</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that LG conducted field appraisal to check for technical feasibility, Environmental and social acceptability and customised design for investment projects of the previous as follows:</p> <p>Construction of the District administration block this was screened on 18/08/2020 environmental and social screening, impact screening ;</p> <p>Construction of rain water harvesting tank at Kyakajuyinga primary school in Kitanda sub county 15/08/2020- by district environmental officer- Lwanga Athanasius; and</p> <p>Completion of staff houses at Butenga Health Center IV on 13/08/2019 .</p>	2

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:

Score 1 or else score 0.

TPC held meeting 27/11/2019 under minute 08/TPC/NOV/2019- Presentation and discussion of investment profile of FY 2020/2021

Panning unit 4 projects derived from LGDP pages 171- 174

Water sector 10 projects derived from LGDP from pages 184- 188

Education 2 projects from pages 174- 177

Production and marketing department 4 pages 177- 180

Works sector 17 from 180-184

Health 6 from pages 193- 197

1. Phased construction the District Administration block ;
2. Construction of rain water harvesting tank at Kyakajuyinga Primary School ;
3. Procurement, engraving and supply of office furniture and filling cabinet ;
4. Construction of 25000 liters institutional rain water harvesting tank at Misenyi Christian Center ;
5. Supply of borehole spare parts and other accessories to the district head quarters ;
6. Supply of one office table and one chair to water department; and
7. Construction of 4 stance lined pit latrine with urinal at Butayunja trading center .

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:

Score 2 or else score 0

There was evidence that desk and field-based appraisals were done and projects screened for the current FY as follows:

1. Construction of the Rain Water Harvesting Tank with a capacity of 300 M3 at Kyakajwiga P Sch. as per the screening form dated 15th August 2020;
2. Phased Construction of Bukomansimbi District Administration Block in Bukomansimbi Town Council as per the screening from dated 18th August 2020; and
3. Micro-scale irrigation Demonstration Farm Site under Uganda Inter Government Fiscal Transfer (UgIFT) in Bukomansimbi Town Council as per the screening from dated 20th October 2020.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan

Score 1 or else score 0

There was evidence that infrastructure projects for the current FY 20/21 to be implemented using DDEG were incorporated in the LG approved procurement plan approved on 18th/05/2020 under minute no. CC/06/05/2020. Three projects were seen included in the procurement plan under the following subjects of procurement:

1. Building construction-offices-249: output 312102-1 under administrative capital (output 318308). The project under this output was Phased construction of Bukomansimbi District Headquarters Administration Block;
2. Construction Services – Water Reservoirs-417 (Out put 312104-1). The project under this output was construction of 30,000 litres institutional rain water harvesting tank at Kyakajwiga P/S in Kitanda S/C;
3. Furniture and fixtures (output 312203). The project under this output was supply and engraving of filing cabinets, office chairs and tables.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0

There was evidence that the following sampled infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction:

- 1. Phased construction of Bukomansimbi District Headquarters Administration Block:**
 - a) The Contracts Committee approval was done on 16th/11/2020 under min under minute no. Buko05/DCC/11/20-21 of Buko600/DCC/11/20-21;
- 2. Construction of 30,000 litres institutional rain water harvesting tank at Kyakajwiga P/S in Kitanda S/C:**
 - a) The Contracts Committee approval was done on 16th/11/2020 under min under minute no. Buko05/DCC/11/20-21 of Buko600/DCC/11/20-21.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:

Score 1 or else 0

There was no sufficient evidence that the LG properly established the Project Implementation team as specified in the sector guidelines. The only letters availed were for the project managers and contract supervisors for the following projects:

1. Construction of Bukango Seed Secondary School at Bukango S/C:

- a) The project supervisor/manager; Mr Saazi Martin the Ag. District Engineers was appointed on 07th/08/2019; and
- b) The Clerk of Works was also appointed but the letter was not availed.

2. Phase III Construction of Bukomansimbi District Headquarters Administration Block FY 2019/2020:

- a) The contract manager (Ag. District Engineer) was appointed on 07th/12/2019; and
- b) Contract supervisor (Principal Ass. Secretary) was appointed on 07th/01/2020.

3. Construction of (2) 20,000 litres Rain Water Harvesting Tanks in Kibinge and Bukomansimbi T/C:

- a) The Contract Manager, the Assistant Engineering Officer was appointed on 16th/12/2019.

Note: No other letters designating members to be part of the project implementation team were seen.

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

There was evidence that the following infrastructure project implemented using DDEG funds sampled below followed the standard technical designs provided by the LG engineer:

1. Construction of 5-stance pit latrine at Bunyenya P/S

Findings of the site visit:

- a) The latrine found on ground was a 5-stance VIP latrine (4 for enabled persons and 1 for the disabled) with a shower laid as per the technical drawings given by the LG engineer (drawing no. 5SLB/13/02). Unfortunately, all stances were locked and therefore measurements were done on the urinal and the corridor.

Measurements taken

- a) The latrine full length and width measurements were 3150*8400mm whereas actual measurements were 8800*2800mm;
- b) The entry door size for the disabled was 900*2400mm same as that on the drawings;
- c) Also, doors sizes for abled persons were 900*2400mm same as sizes specified on the drawings;
- d) The corridor internal measurements covering the 4 stances and the urinal were 1200*7060mm whereas actual measurements on the floor plan were 1000*5250mm;
- e) The urinal was floor size was 1270*1530mm whereas the actual measurements were 900*1650mm;
- f) The splash apron was 600mm wide and 75mm deep same as that on the floor plan;
- g) The manhole cover was 680*680mm whereas that on the floor plan was 500*600mm; and
- h) The curtain wall was 7060mm long (internal length of 4-stances and urinal), 150mm wide and 1580mm high where as actual measurements were 5250mm long, 150mm wide and 1600mm high (seen from the typical cross section drawing no. 5SLB-T/1304).

Visual Checks:

- a) The latrine walls were finished with rough cast and no cracks were seen them;
- b) The splash apron was finished with cement screed and no defects such as cracks were seen;
- c) The manhole was covered with precast concrete cover as specified on the floor plan;
- d) The roof used was 26 Gauge pre-painted galvanized sheets same as that specified on the typical section.

2. Phased Construction of Staff Quarters at Butenga HC IV

Findings of the site visit:

- a) The works done in the last FY using DDEG funds was fixing windows doors, flooring, casting the ceiling plastering and painting internally. Two rooms had been worked on but they couldn't be accessed inside since they were already being used by nurses; and
- b) Outside wall were not plastered however the collider was painted and no defect was

seen in it.

Note: The construction as explained to the Assistant Engineering Officer started in several year back before he joined the LG and therefore standard drawings were not in place. He explanation, however was, that each year some little money is planned to ensure progress of the quarters. Three doubled rooms were found on the ground and two finishes were done.

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0

There was no evidence that the CDO and Environmental Officer provided supervision for each of infrastructure project sampled below prior to verification and certification of works in FY 2019/2020:

1. Construction of Bukakango Seed Secondary School:

a) Supervision reports were seen compiled by the District Engineer on 18th/11/2019, 16th/04/2020, 30th/06/2020, 28th/08/2020 and 03rd/09/2020. Other reports seen on file were from the Clerk of works dated on 02nd/01/2020, 22nd/01/2020, 26th/02/2020, 20th/05/2020, 19th/06/2020, 25th/07/2020 17th/08/2020, 28th/08/2020, 21st/09/2020 and 19th/10/2020.

b) No supervision reports from the CDO and Environmental Officer were availed.

2. Construction of a 3-classroom block with furniture and Supply o a pasting water tank at Ndalage Moslem P/S:

a) Supervision/project status reports were seen compiled by the Assistant Engineering Officer on 29th/04/2020, 27th/05/2020 and 24th/06/2020.

b) No supervision reports from the CDO and Environmental Officer were availed.

3. Construction of a 5-stance lined pit latrine at St Henry's Ndalage P/S:

a) Supervision/project status reports were seen compiled by the Assistant Engineering Officer on 29th/04/2020, 27th/05/2020 and 24th/06/2020.

b) No supervision reports from the CDO and Environmental Officer were availed.

4. Construction of a surgical ward at Butanga HC IV:

a) Supervision/project status reports were seen compiled by the Assistant Engineering Officer on 07th/04/2020, 29th/04/2020, 27th/05/2020 and 25th/06/2020, 13th/07/2020. Also, site instruction reports were availed dated

0

on 27th/02/2020,
5th/3/2020/13th/03/2020,19th/03/2020,
30th/03/2020, 28th/04/2020, 29th/04/2020,
07th/05/2020, 21st/05/2020, 02nd/06/2020,
12th/06/2020, 27th/06/2020, 24th/7/2020 and
09th/03/2020.

b) No supervision reports from the CDO and Environmental Officer were availed.

13

Procurement, contract management/execution
Maximum 8 points on this Performance Measure
f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):
Score 1 or else score 0

There was evidence that the LG verified works (certified) and initiated payments of contractors within specified timeframes as per contract as seen below from the sampled projects:

1. Construction of Surgical Ward at Butenga HC IV in Butenga S/C:

- a) The contractor made a requisition of UGX 225,140,120 on 10th/06/2020;
- b) The DE forwarded DHO's office on 15th/06/2020;
- c) The SAA in charge recommended payment of UGX 225,140,928 as per attached certificate 26th/6/2020;
- d) The CAO forwarded it to CFO to process payment on 26th/06/2020;
- e) Interim certificate 1 was issued on 16th/06/2020; and
- f) Payment of UGX 225,140,928 was made on 29th/06/2020.

2. Construction of 3 classroom block with supply of furniture and installation of plastic tank at Mbulire Moslem P/S in Kitanda S/C:

- a) The contractor made a requisition of UGX 39,477,598 on 21st/06/2020;
- b) The DEO forwarded it to the DE on 23rd/06/2020;
- c) DE forwarded to CAO 23rd/06/2020;
- d) The CAO forwarded it to CFO to process payment on 23rd/06/2020;
- e) The certificate was issued on 23rd/06/2020; and
- f) Payment of UGX 37,470,262 exclusive of taxes was made on 29th/06/2020.

1

13

Procurement, contract g. The LG has a complete

There was evidence that the LG had a

1

management/execution procurement file in place for each contract with all records as required by the PPDA Law:

Maximum 8 points on this Performance Measure

Score 1 or else 0

complete procurement file in place for all contracts with all records as required by the PPDA Law for the FY 2019/2020. The sampled projects were:

1. Construction of ancillary work for two (2) 3000 cum valley tanks and Kyaziiza and Makukulu (Buko600/wrks/19-20/00024):

- a) Evaluation report was signed on 10th/03/2020;
- b) Contracts committee approval of the evaluation report was made on 12th/03/2020 under minute no. Buko04/DCC/03/19-20;
- c) The Contracts Committee decision after their sitting on 12th/03/2020 under minute no. Buko04/DCC/03/19-20 approved M/S RB Mubiru Services for undertaking the above project at a contract price of UGX 26,949,784 and
- d) Contract agreement was signed on 30th/03/2020 between Bukomansimbi DLG and M/S RB Mubiru Services.

2. Phased construction of Bukomansimbi Headquarters Administration Block (Buko600/wrks/19-20/00013):

- a) Evaluation report was signed on 10th/03/2020;
- b) Contracts committee approval of the evaluation report was made on 12th/03/2020 under minute no. Buko04/DCC/03/19-20;
- c) The Contracts Committee decision after their sitting on 12th/03/2020 under minute no. Buko04/DCC/03/19-20 approved M/S RB Mubiru Services for undertaking the above project at a contract price of UGX 26,949,784 and
- d) Contract agreement was signed on 30th/03/2020 between Bukomansimbi DLG and M/S RB Mubiru Services.

3. Construction of 2-classroom block with supply of office chairs and 18 desks at Bukango P/S (Buko600/wrks/19-20/00006):

- a) Evaluation report was signed on 03rd/12/2019;
- b) Contracts committee approval of the evaluation report was made on 05th/12/2020 under minute no. Buko04/DCC/12/19-20;
- c) The Contracts Committee decision after their sitting on 05th/12/2020 under minute no. Buko04/DCC/12/19-20 approved M/S Azom Enterprises Ltd for undertaking the above project at a contract price of UGX 69,999,866

and

d) Contract agreement was signed on 14th/01/2020 between Bukomansimbi DLG and M/S Aom Enterprises Ltd.

4. Construction of a surgical ward at Butenga HC IV (Buko600/wrks/19-20/00016):

a) The Evaluation report was signed on 10th/01/2020;

b) Contracts committee approval of the evaluation report was made on 13th/01/2020 under minute no. Buko04/DCC/01/19-20;

c) The Solicitor General clearance was done on 03rd/02/2020;

d) The Contracts Committee decision after their sitting on 13th/01/2020 under minute no. Buko04/DCC/01/19-20 approved M/S Palm Construction Company Ltd for undertaking the above project at a contract price of UGX 407,036,000 and

e) Contract agreement was signed on 17th/02/2020 between Bukomansimbi DLG and M/S Palm Construction Company Ltd.

5. Supply and delivery of assorted medical equipment to Bukomansimbi DLG (Buko600/wrks/19-20/00015):

a) The evaluation report was signed on 12th/02/2020;

b) Contracts committee approval of the evaluation report was made on 20th/02/2020 under minute no. Buko04/DCC/02/19-20;

c) The Contracts Committee decision after their sitting on 20th/02/2020 under minute no. Buko04/DCC/02/19-20 approved M/S Crown Health Care (U) Ltd for undertaking the above project at a contract price of UGX 129,688,000 and

d) Contract agreement was signed on 02th/03/2020 between Bukomansimbi DLG and M/S Crown Health Care (U) Ltd.

Environment and Social Safeguards

14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feedback (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p>	<p>There was no evidence of designated Grievance Redress Coordinator/officer to handle complaints and grievances at Bukomansimbi DLG.</p>	0
		<p>Score: 2 or else score 0</p>		
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.</p>	<p>There was no evidence of a grievances log and Grievance Redress Committee appointed by Bukomansimbi DLG.</p>	0
		<p>If so: Score 2 or else 0</p>		
14	<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.</p>	<p>There was no evidence of publication of the Grievance Redress Mechanism.</p>	0
		<p>If so: Score 1 or else 0</p>		

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0

There was evidence that the Environment, Social and Climate change interventions were integrated into LG Development Plans, annual work plans and budgets as follows:

Planning: Construction of the District administration block on page 172 the LGDP where environmental social and climate change concerns integrated and budget for at UGX 1,000,000 This was reflected on page 42 of the AWP;

Water: Construction of rain water harvesting tank at Kyakajuvinga primary school in Kitanda sub county UGX 500,000 page 128 of the LGDP. This was reflected on page 42 of the AWP;

Health sector: Completion of staff houses at Butenga Health Center IV, the environmental concern cost was at UGX 500,000 page 193 This is reflected in the AWP page 127 .

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management

score 1 or else 0

There was evidence that the Environment, Social and Climate change interventions were integrated into LG Development Plans, annual work plans and budgets as follows:

TPC meeting held on 28/10/2020 min 5/TPC/OCT/2020

Presentation and dissemination of key policy issues, guideline, and IPFs discussed from the regional budget consultative workshop. Keys in the budget call circular, aligning the plans against budget and development plans to national program areas, program area to address COVID19

DDEG guideline, social safety and social safe guards

Guidelines for the management of contingent liabilities.

Health sector grants guidelines.

Held on 25/ 09/2019 Min 4/TPC/SEPT/2019

Sector issues, policies and guidelines derived from the regional budget consultative meeting.

<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was evidence that Bukomansimbi DLG incorporated ESMP with costs into the BoQs as follows:</p> <ol style="list-style-type: none"> 1. Phased construction of staff houses at Butemga HC IV in Butemga Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019 – 2020/00009 awarded to M/s Central Contractors Limited at UGX 25,391,240. Bill No. 7 – Environmental Mitigation Measures at UGX 450,000; and 2. Phased Construction of Bukomansimbi DLG Administration Block dated 09th January 2020. Proc. Ref. No. Buko600/wrks/19 – 20/00013 awarded to M/s Victoria Construction Limited at UGX 247,955,228. Element No. 6: Lightening Protection – supply, install, connect and set to work the earthing, lightening protection, and universal column.
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Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

d. Examples of projects with costing of the additional impact from climate change.

Score 3 or else score 0

There was evidence of projects with costing for additional impact from climate change as follows:

1. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Ndalagge Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Azom Enterprises Limited at UGX 169,990,999. Element 10 – Lightning Protection at UGX 2,002,500;

2. Construction of two 20,000 litres Rain Water Harvesting Tanks in Kibinge Sub County and Bukomansimbi Town Council dated 20th December 2019. Proc. Ref. No. Buko600/wrks/2019 – 2020/0003 awarded to M/s R.B. Mubiru Services Limited at UGX 25,999,896. Element F.1 – Mitigation of Environmental issues by planting and protecting fruit trees as approved by District Engineer at UGX 250,000;

3. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Mbulire Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Kasase Enterprises Limited at UGX 169,792,500. Element 10 – Lightning Protection at UGX 2,002,500 and

4. Phased Construction of Bukomansimbi DLG Administration Block dated 09th January 2020. Proc. Ref. No. Buko600/wrks/19 – 20/00013 awarded to M/s Victoria Construction Limited at UGX 247,955,228. Element No. 6: Lightning Protection – supply, install, connect, and set to work the earthing, lightning protection, and universal column.

Safeguards for service delivery of investments effectively handled.

Maximum 11 points on this performance measure

e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:

Score 1 or else score 0

There was no proof of ownership of land where Bukomansimbi DLG constructed the projects in the previous FY.

Safeguards for service delivery of investments effectively handled.	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:	There was evidence to confirm that Environmental Officer conducts support supervision and monitoring to ascertain compliance with ESMPs and provide monthly reports as follows:
Maximum 11 points on this performance measure	Score 1 or else score 0	<ol style="list-style-type: none"> 1. Monitoring report dated 15th April 2020 for the Construction of Rain Water Harvesting Tanks at St. Peter's P Sch. I Kibinge Sub County, and Babiito P Sch. in Bukomansimbi Town Council; Valley tanks at Makukuulu village in Kitanda Sub County and Kayunga village in Bigasa Sub County; and Drilling of Production wells at Kagologolo trading centre in Kitanda Sub County and Butayunga trading centre in Kibinge Sub County; 2. Monitoring report dated 24th September 2020 for the Construction of five stances lined pit latrine at Bunyeenya P Sch., in Kibinge Sub County; and 3. Monitoring report dated 24th September 2020 for the Phased Construction of Staff Houses at Butenga HC IV in Butenga Sub County.

<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:</p> <p>Score 1 or else score 0</p>	<p>There was evidence of completed E&S Compliance Certification Forms signed by the District Environmental Officer prior to payments of contractors' invoices/ certificates at interim and final stages of the following projects:</p> <ol style="list-style-type: none"> 1. Phased construction of staff quarters at Butenga HC IV in Butenga Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00009 awarded to M/s Central Contractors Limited at UGX 25,391,240. Payment certificate No. 1 dated 03rd March 2020 of UGX 18,696,621 was signed by the District Environment Officer; 2. Construction of five stances lined pit latrine at Bunyeenya P Sch., in Kibinge Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00008 awarded to M/s D-MUK Investments (U) Limited at UGX 22,700,000. Payment certificate No. 1 of UGX 21,561,427 was signed by the District Environment Officer – Mr. Lwanga Athanasius on 06th May 2020; 3. Construction of three-classroom block, supply and install plastic water tank, supply furniture at Ndalagge Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Azom Enterprises Limited at UGX 169,990,999. Payment certificate No. 2 of UGX 42,479,153 was signed by the District Senior Environment Officer; and 4. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Mbulire Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Kasase Enterprises Limited at UGX 169,792,500. Payment certificate No. 2 dated 23rd June 2020 was signed by the District Senior Environment Officer for UGX 39,477,598 and Payment certificate No. 3 dated 29th June 2020 was signed by the District Senior Environment Officer for UGX 25,115,205.
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Financial management

LG makes monthly
Bank reconciliations

Maximum 2 points on
this Performance
Measure

a. Evidence that the LG
makes monthly bank
reconciliations and are up to-
date at the point of time of the
assessment:

Score 2 or else score 0

There was evidence that the LG makes monthly
bank reconciliations and are up to-date at the
time of the assessment as follows:

1. Council and Statutory Body
no;01031020287811 reconciliation for;

Aug 2020 was done on 31/08/2020

Sept was done on 30/09/2020

Oct was done on 31 /10/2020 ;

2. Trade and Industry Account No
01033617619481

For August it was done on 31/08/2020

Sept was done 30 / 09/ 2020

October was done on 31/10/2020; and

3. Production and Extension Services

Accounts No : 01033500072964

Aug was reconciled on 03/09/2020

Sept was on 02/10/2020

October was on 4/11/2020 .

<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p>	<p>There was evidence that the LG prepared all four sets of Internal audit reports</p>
<p>Maximum 4 points on this performance measure</p>	<p>Score 2 or else score 0</p>	<p>1st quarter report was on 31/10/2019 ;</p> <p>2nd quarter report was on 29/01/2020 ;</p> <p>3rd Quarter report was on 21/05/2020 ; and</p> <p>4th quarter report was on 21/08/2020 .</p> <p>Some of the issues raised were as follows:</p> <p>1st quarter:</p> <p>Asset management – non-maintenance of grader</p> <p>Lack of project management report on project action files</p> <p>Delayed procurement, ambulance of Butanga Health Center IV and construction of seed secondary school- Bukango Sub county.</p> <p>Second quarter,</p> <p>Lack of cash books and financial statements in some health centers</p> <p>Hr , public servants with duplicate tax identification numbers in the payroll</p> <p>Delayed completion of renovation works on Misave demonstration Primary school in Kibinge Sub county</p> <p>3rd quarter.</p> <p>Failure to adhere to health safeguard, social and environmental concerns on the construction of seed secondary school at Bukango</p> <p>Community rehabilitation of community access roads in sub counties.</p> <p>Failure to engrave District assets</p> <p>Quarter 4</p> <p>Failure to remit Local revenue advanced by the District to LLG</p> <p>In health department delayed implementation of approved procurement- ambulance for Butanga Health Center IV and construction of surgical ward at Butanga Health Center IV</p> <p>Lack of store records in administration and finance.</p>

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the FY 2019/2020 as follows:</p> <p>Quarter one: 05/11/2019;</p> <p>Quarter two 30/Jan/2020;</p> <p>Quarter 3; 26/05/2020 and</p> <p>Quarter 4 21/08/2020.</p>	1
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17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the FY 2019/2020 as follows:</p> <p>Quarter one: 05/11/2019,</p> <p>Quarter two 30/Jan/2020,</p> <p>Quarter 3; 26/05/2020</p> <p>Quarter 4 21/08/2020;</p>	1
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Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>As per the LG approved Plans and Budget 2019/2020 on page 1; the planned revenue was UGX151,000,000</p> <p>As per the Annual financial statement on page 13 actual revenue collected was UGX155,180,073 Therefore, revenue realized was 2%</p>	2
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19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> • If more than 10 %: score 2. • If the increase is from 5% - 10 %: score 1. • If the increase is less than 5 %: score 0. 	<p>As per the LG Annual financial accounts 2018/2019 actual Revenue collection was UGX 145,092,353</p> <p>Actual revenue for 2019/2020 was UGX 155,180,073</p> <p>There was 7% increase.</p>	1
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20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	The LG collected LST of UGX 155,180,075 and transferred to the LLG UGX 28,639,000 on 30/10/2019. This was only 18% remittance far below the mandatory 65% requirement.	0
	Maximum 2 points on this performance measure.			

Transparency and Accountability

21	LG shares information with citizens	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	<p>There was evidence that the LG published awarded contracts and amounts displayed were the same as seen in the contract agreements signed. For example; notices seen on the notice board were:</p> <p>a) M/S Azom Enterprises Ltd displayed as the Best Evaluated Bidder for Phased Construction of Bukomansimbi District Headquarters (BUKO600/WRKS/20-21/00002) on 17th/11/2020 and amount seen on the display (UGX 35,009,656) was the same seen in the contract agreement;</p> <p>b) M/S Matsazan Co. Ltd displayed as the best evaluated bidder for Construction of one 30,000litre Institutional Rain Water Harvesting Tank at Kyakajwiga P/S in Kitanda S/C on 17th/11/2020 and amount seen on the display (UGX 19,131,587) was the same seen in the contract agreement;</p> <p>In addition to the above notices, other notices for example for projects implemented in the FY 2019/2020 were found on file as given below:</p> <p>a) M/S RB Mubiru Services was displayed as the best evaluated bidder for Construction of ancillary work for two (2) 3000 cum valley tanks and Kyaziiza and Makukulu (Buko600/wrks/19-20/00024) on 12th/03/2020 and amount seen on the display (UGX 26,949,784) was the same seen in the contract agreement; and</p> <p>a) M/S Victoria Construction Ltd was displayed as the best evaluated bidder for Phased construction of Bukomansimbi Headquarters Administration Block (Buko600/wrks/19-20/00013) on 05th/12/2019 and amount seen on the display (UGX 247,955,228) was the same seen in the contract agreement.</p>	2
	Maximum 6 points on this Performance Measure			

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the LG publicised the LG performance assessment results and its implication on the noticeboard dated 10/09/2020 detailing their performance per sector as follow:</p> <p>Cross cutting 70% ;</p> <p>Education 55% ;</p> <p>Health 62% ; and</p> <p>Water 78% .</p>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG during the previous FY conducted discussions with the public to provide feed-back on status of activity implementation.</p> <p>The LG meeting was on 1/Oct/2019</p> <p>29/10/2019, there was the issue of trespass and general damage on their land during the power line project implementation ;</p> <p>20/11/2019 dissemination of policy and public partnership</p> <p>Held on 28/10/2019. During the budget conference report where achievements and challenges</p> <p>Review of performance. LLG, Political leaders, cultural leaders, development partners. Religious leaders, (key stakeholders in the district)</p> <p>16/01/2020.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was evidence about the approved market /landing/tax part fees for LG for the FY 2019/2020</p> <p>Trading license (grading of Business area) under section 30(3) of the trading (Licensing Act Cap 101). There was information on the notice boards, the appeal committee in place composed of sub county chief, accountant, community development. At the District is the CFO, CAO, town clerk and Senior Treasury.</p>	1

Reporting to IGG

Maximum 1 point on
this Performance
Measure

a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0

There was no evidence of any issue discussed as the council not fully oriented on their work

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The PLE pass rate did not improve between the previous school year but one and the previous year.</p> <p>From the UNEB PLE results accessed for 2018 Bukomansimbi had the following performance: Division one = 341, Division 2 =1485, and Division 3 = 640 pupils out of a total number of 3428 pupils who sat for the same exam. This translates to 71.9% pass rate</p> <p>While the UNEB results for 2019 were as follows: Division one = 238, Division 2 =1384, and Division 3 = 738 students out of a total number of 3443 pupils who sat for the same exam. This translates to 68.5% pass rate.</p> <p>Therefore the pass rate compared will be</p> $=PR\ 2019 - PR\ 2018$ $= 71.9\% - 68.5\% = -3.3\%$	0
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The was evidence that the LG UCE pass rate improved between the previous school year but one and the previous year.</p> <p>According to UNEB UCE results for 2018, Bukomansimbi had the following performance: Division one = 65, Division 2 =128, and Division 3 = 235 students out of a total number of 1245 students who sat for the same exam. This translates to 34.4% pass rate</p> <p>While the UNEB results for 2019 were as follows: Division one = 70, Division 2 =189, and Division 3 = 274 students out of a total number of 1283 students who sat for the same exam. This translates to 41.5% pass rate.</p> <p>Therefore the pass rate compared will be</p> $=PR\ 2019 - PR\ 2018$ $= 41.5\% - 34.4\% = 7.1$	2

2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	Not applicable	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The was evidence that the education development grant was used on eligible activities as defined in the sector guidelines:</p> <p>Budget UGX 1,250,000,000 plus 354,000 of which UGX 1,592,000,000 was for construction of Bukango Seed Secondary at Bukango sub county</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>There was evidence that the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors as follows:</p> <p>1. Construction of Bukango seed school</p> <p>Contract no: BUKO600/WRKS/18-19/00018</p> <p>Contract sum: UGX 769,324,489</p> <p>Payment process initiation was on 25/06/20</p> <p>District Engineer signed 25/06/20</p> <p>DEO signed on 25/06/2020</p> <p>CFO signed on 25/06/2020</p> <p>CAO signed on 25/06/2020</p> <p>Date of payment was on 30 /06/2020</p> <p>Amount paid UGX287,175,758 paid on 30/06/2020.</p> <p>Interim Certificate 02 Dated 26/06/2020 ;</p> <p>2. Construction of 3 classroom block with supply and installation of plastic tank at Mbulire Moslem Primary School at Kitanda Sub county.</p> <p>Contract no: Buko600/WRKS/19-20/00022</p> <p>Contract sum: UGX 169,792,500</p> <p>Payment process initiation was on 28/06/2020</p>	2

District Engineer signed 29/06/2020

DEO signed on 29/06/2020

CFO signed on 29/06/2020

CAO signed on 29/06/2020

Date of payment was on 30/06/20 20

Amount paid UGX 25,115,205

Interim payment certificate 3 dated 29/06/2020 ;
and

3. Renovation of 2 classroom block at
Kyakajwiyinga Primary School in Kitanda
Primary school

Contract No Buko600/WRKS/19-20/00011

Contract Amount: UGX21,953,310

Initiation of payment was on 25/05/2020

DEO signed on 25/05/2020

CFO signed on 29/05/2020

CAO signed on 29/05/2020

Payment was on 29/06/2020

Interim certificate no 2 dated 27/05/2020 .

Investment
Performance: The LG
has managed
education projects as
per guidelines

Maximum 8 points on
this performance
measure

c) If the variations in the
contract price are within +/-
20% of the MoWT estimates
score 2 or else score 0

**There was evidence that the variations in the
contract price and engineers estimates in the
works contracts sampled for the FY
2019/2020 Education Infrastructure were all
within +/-20% as detailed below:**

1. Construction of two (2) 5-stance lined pit latrines at Kitasa Mixed Primary School in Bukomansibi Town Council and St. Patrick Buyoga P/S in Kibinge S/C (Buko600/wrks/19-20/00012) at a contact price of UGX 45,989,792 and Engineer's estimate of UGX 47,000,000. The variation in the two prices was 2.15%;
2. Construction of a 2-classroom block with Supply of furniture (2 tables, 2 office chairs and 18 desks) at Bukango P/S (Buko600/WRKS/19-20/00006) at a contact price of UGX 69,999,866 and Engineers estimate of UGX 70,000,000 The variation in the two prices was; 0% and
3. Construction of Bukakango Seed Secondary School (MoES-UgiFT/WRKS/2018-2019/00018) at a contact price of UGX 2,137,012,474 and Engineer's estimate of UGX 2,150,594,506 The variation in the two prices was 0.63%;

Note: Formula used was Variation = ((Engineers Estimate – Contract Price)/Engineers Estimate) *100

Investment
Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

There was evidence that education projects for FY 2019/2020 were implemented as per work plan. These were;

1. Construction of a 2-classroom block with Supply of furniture (2 tables, 2 office chairs and 18 desks) at Bukango P/S (completed);
2. Construction of a 3-classroom block, supply and installation of a plastic tank plus supply of furniture at Mbulire Moslem P/S in Kitanda S/C (completed);
3. Construction of a 5-stance lined pit latrines at Kitasa Mixed Primary School in Bukomansibi Town Council and at St. Patrick Buyoga P/S in Kibinge S/C (completed);
4. Construction of a 3-classroom block, supply and installation of a plastic tank plus supply of furniture at Ndalagge Moslem P/S in Kitanda S/C,
5. Construction of a 5-stance lined pit latrines at St. Henry's Ndalage P/S in Kitanda S/C (completed);
6. Construction of Bukakango Seed Secondary School at Bukango village in Kitanda S/C (on going).

Therefore, percentage of completed projects = (No. of projects completed/total no. of projects executed) *100 = (5/6) *100 =83%

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines

- If 100%: score 3
- If 80 - 99%: score 2
- If 70 – 79% score: 1
- Below 70% score 0

Establishment 833, filled 732, vacant 101, 87%

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

There was no evidence from Bukomansimbi LG that all the 73 Primary schools and eight Secondary Schools had consolidated schools asset registers for FY 2019/20.

Performance Reporting and Performance Improvement

<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was no sufficient evidence that the LG had accurately reported on Teachers and where they were deployed.</p> <p>The names found at the DEO's Office were not the same as those in the School. For example, St. Jude Bukomansimbi had Nakito Florence, Muddu Peter, Nandawula Regina, Ssenyomo Enock, Nantambi Grace who were not on the DEO's list, and for the same school, the DEOs list had Nabasirye Maria and Nabuuma Sylvia who were not on the school list. The detail of the deployment is as follows:</p> <p>Maleku PS: the DEO's list had Kisolo Pidson, Kakembo Charles, Kyoheirwe Milly, Turyahabwe Julius, Nakivumbi Carolyne, Nabisere Annet, Nakyaluzi Annet, Namugerwa Grace, Nambooze Elizabeth, Namugenyi Margaret, Nakaweesa Victor, Namazzi Aidah, Nandawula Maddy and Turyatunga Majidu. The school list had the same as the DEO's list: Kisolo Pidson, Kakembo Charles, Kyoheirwe Milly, Turyahabwe Julius, Nakivumbi Carolyne, Nabisere Annet, Nakyaluzi Annet, Namugerwa Grace, Nambooze Elizabeth, Namugenyi Margaret, Nakaweesa Victor, Namazzi Aidah, Nandawula Maddy and Turyatunga Majidu.</p> <p>St. Jude Bukomansimbi PS – Bukomansimbi TC: the DEO's list had Kkubo Kenneth Davis, Naggayi Jacent, Nabunje Thereza, Nuwabeine Bernard, Mukiibi Lawrence, Namusoke Jane, Birabwa Jesca, Ssuna Sebastian, Nalubega Mariam, Kabugho Yayeri, Magezi David, Ssenfuka Ramadhan, Nabasirye Maria, Ssewanyana Alex and Nabuuma Sylvia. The school list had: Nakito Florence, Muddu Peter, Nandawula Regina, Ssenyomo Enock, Nantambi Grace, Kkubo Kenneth Davis, Naggayi Jacent, Nabunje Thereza, Nuwabeine Bernard, Mukiibi Lawrence, Namusoke Jane, Birabwa Jesca, Ssuna Sebastian, Nalubega Mariam, Kabugho Yayeri, Magezi David, Ssenfuka Ramadhan and Ssewanyana Alex.</p> <p>Butenga CoU PS - Butenga SC: the DEO's list had Nkabwe Peter, Nabuyongo Adriane, Nantongo Betty, Nangale Everline, Ochieng Leopold, Kansase Molly, Namukambwa Robinah, Nangonzi Betty, Busikwa Annet, Nansubuga Ritah, Nalwadda Christine, Kyewalyanga Pascal, Bijwinka Zephanus, Namuzindwa Lydia. The school list was not readily available on display. The deputy head teacher left in the school could not access the file copy.</p>
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5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> • If the accuracy of information is 100% score 2 • Else score: 0 	<p>There was no evidence that Bukomansimbi LG had a school asset register therefore it was not possible to assess the accuracy of reporting.</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>There was no evidence that the LG ensured that registered Primary Schools complied with the MOES Annual budgeting and reporting guidelines.</p> <p>The DEO presented school staff meeting minutes.</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>There was no evidence that UPE schools had been supported to prepare and implement SIPs in line with Inspection recommendations. Two of the sample schools of Maleku CoU PS, Butenga Mixed PS did not have any documentation of the SIP while St. Jude Bukomansimbi PS could only show copies for 2014 and 2016.</p>	0

School compliance and performance improvement:

Maximum 12 points on this performance measure

c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:

- If 100% score: 4:
- Between 90 – 99% score 2
- Below 90% score 0

There was evidence that the Bukomansimbi LG collected and submitted EMIS data for all registered schools from the previous FY. This was evidenced by extract from the MoES, which noted that Bukomansimbi LG had 73 Primary schools and eight Secondary Schools, a figure that matched the list found in the DEO's office and in the EMIS extract entitled "Copy of government schools with enrolment 19-20.

Human Resource Management and Development

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:

Score 4 or else, score: 0

The evidence was not sufficient.

According to the Education sector guidelines of May 2019 page 15, "Each primary school must have at least a head teacher and a minimum of 7 additional teachers."

Evidence from the PBS for FY 2020/21 generated on 11/06/2020 05:29 indicated that there were some schools whose budget was below the minimum requirement of each a head teacher and seven teachers for P7 class school. Examples of these schools include: Lwamalenge P5, had 4 teachers and a head teacher, Kyansi P7 school had 6 teachers and a head teacher, Gganda P7 School had 5 teachers and a deputy head teacher, Kasebwavu P7 PS had 6 teachers and no Head teacher.

0

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

The evidence was not sufficient. Although teachers' deployment for most schools followed the guidelines, there were some schools whose deployment was not compliant. For example: according to the deployment list at the DEO's office, Kayanja P7 PS had a deployment of six teachers and a head teacher, Kitemi P7 PS had six teachers and a head teacher, St. Matia Mulumba P7 PS had six teachers and a deputy head teacher, Kyansi and Kisabwa P7 PSs had 6 teachers and one head teacher each. This is contrary to the guidelines that state that a P7 schools should have a minimum of 7 teachers and a head teacher and P6 schools should have a minimum of six teachers and a head teacher.

0

7

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

There was no evidence that teacher deployment data had been disseminated or publicized on LG and School notice boards. There was no copies displayed at Bukomansimbi LG and Butenga Mixed PS (the teachers list not displayed and the head teacher could not find a file copy). Displays were only present in Maleku CoU PS and St. Jude Bukomansimbi PS.

0

8

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

There was evidence that the primary school head teachers had been appraised.

- Kkubo Kenneth Davis, Bukomsimbi PS by Swaib Kizito appraised on 4/2/2020.
- Mubiru badru of Kyango Muslem PS appraised by Kizito Swaib on 5/1/2020
- Sserwanga Godfrey of Sserinnya PS appraised by Kabaziguruka Dan on 7/1/2020
- Nkambwe Peter of Butenga COU PS on 7/1/2020
- Kulubya David of Kassebwavu PS appraised on 1/7/2020 Ssali Andrew
- Nakalema Molly of Budda PS appraised on 1/7/2020 by Ssali Andrew
- Ssenyomo Enoch of Kawoko COU PS appraised by kabinga Micheal on 27/11/2019
- Muwonge mathias of St jude Kirinda PS on 10/2/2020
- Lubega Deogratias of Kakukulu Makoomi PS by Kabaziguruka Dan on 7/1/2020
- Butamanya Isa by Kabaziguruka on 7/1/2020

2

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that the secondary school head teachers had been appraised.</p>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>There was evidence that the Education department staff were appraised against their performance plans in the previous FY</p> <ul style="list-style-type: none"> • Sserugave John Bosco appointed on 2016 DSC min no 17.1/2016 as sports officer. Appraised by ZZiwa Patrick on 30/06/2020 redesigned to inspector of schools • Mutebi Fred (senior inspector of schools) appointed on 29th June 2017. DSC MIN no 14.1/2017, appraised by Zziwa Patrick on 25/06/2020 • Nanyonjo Joweria redesigned on 18th January 2016 DSC min no DSC/MIN.52.1/2015. Appraised by ZZIwa Patrick on 23/06/2020 	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was no evidence that the LG prepared a training plan to address identified staff capacity gaps at the school and LG level.</p>	0

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.

If 100% compliance, score:2
or else, score: 0

There was no evidence that the LG confirmed in writing the list of schools, their enrolment and budget allocation in the PBS by December 15th Annually.

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.

If 100% compliance, score: 2
else, score: 0

There was evidence that the LG made allocations to inspection and monitoring function in line with sector guidelines. The Education Sector May 2019 LG Guidelines, pages 11 – 12, stipulates that “Education management services and monitoring (Output 078401): At a minimum, this function must be allocated a fixed rate of US\$ 4.5 million per LG, plus US\$ 100,000 per school. School inspections (Output 078402): ... At a minimum, inspection must be allocated a fixed rate of US\$ 4 million per LG, plus US\$ 336,000 (6 inspections at US\$ 56,000) per school for the 3 terms.

The FY 19/20 Approved budget estimates in the PBS generated on 11/06/2020 05:29 indicated that output 078401 was allocated 13,100,000 and output 078402 was allocated 32,896,000.

A breakdown of this figure following the Sector guidelines translates to the following:

Output 078401

- DEO Fixed rate for LG = 4.5m
- UgX 100,000 per sch = 7,300,000m(73sch)
- Sch Insp Ugx336,000/sch = 24,528,000 (73schools).

The total allocation needed for this output therefore is: 36,328,000/=

Output 078402 breakdown of this output according to the Sector guidelines translates to the following:

- DEO Fixed rate for LG = 4m
- Sch Insp Ugx336,000/sch =2,688,000 (8schools). The total allocation needed for this output therefore is: 6,688,000/= .

For the two outputs, the minimum budget required is 43,016,000/=. This is less than the approved budgeted (45,996,000), for the period for outputs 078401 and 078402.

Therefore the budget allocated was sufficient.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The LG did not submit warrants for school's capitation within 5 days for the last 3 quarters as below:</p> <p>Quarter 1 warranted on 30/07/2019 and released on 09/07/2019, 10days;</p> <p>Quarter 2. warranted on 14/10/2019 and released on 02/10/2019; 12days; and</p> <p>Quarter 3 warranted on 14/01/2020 and released on 28/02/2020; 14days</p>	0
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.</p> <p>If 100% compliance, score: 2 else, score: 0</p>	<p>There was no sufficient evidence that the DEO communicated/publicized capitation releases to schools within three days of release from MoFPED. This was not seen at the District nor was it in the sampled schools of Maleku PS, St. Jude Bukomansimbi and Butenga PSs.</p>	0
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.</p> <p>• If 100% compliance, score: 2, else score: 0</p>	<p>There was evidence that the education department prepared inspection plans (dated 31/05/2019, for the period 2019/2020) and conducted meetings to plan for school inspections. This evidence was reflected in meeting minutes of dated 3/10/2019 and 29/01/2020.</p>	2
10	<p>Routine oversight and monitoring</p> <p>Maximum 10 points on this performance measure</p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <p>• If 100% score: 2</p> <p>• Between 80 – 99% score 1</p> <p>• Below 80%: score 0</p>	<p>There was no sufficient evidence that majority of the schools were inspected in the previous three school terms. Evidence was produced for two terms as follows: 73/73= 100% for term III 2019 – report dated 5th December 2019; and 73/73=100% for term I 2020 – reports dated 25th May 2020. Therefore the average is 48.6%</p>	0

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was no sufficient evidence that School inspection reports were discussed and used to recommend for corrective actions, and that those actions have subsequently been followed –up.</p> <p>Two of the sampled schools did not have any evidence to show that they discussed or made use of the recommendations from inspections. It was only St. Jude Bukomansimbi PS whose minutes indicated a discussion of inspection reports as per minutes dated: 21/08/2020: Min 002/2019.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>There was no sufficient evidence that the DEO had presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the MOES.</p> <p>None of the sampled schools had minutes for all the three terms:</p> <p>Whereas ST. Jude Bukomansimbi PS, had copies of inspection reports/feedback forms dated 26/09/2019, 20/11/2019, 03/03/2020 and 19/03/2020 and In Butenga Mixed P.S; dated 07/10/2019, 20/10/2019 and 20th February 2020, no copy was produced in Maleku PS.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There education sector met on 15/5/2020</p> <p>MIN 05/BCM/May/2020</p> <p>Consider allocation of funds for special needs and special support to school</p> <p>Construction of PWD school in the district</p> <p>Procurement and distribution of school desks to the following schools 10 schools in the District</p> <p>Renovation of classroom blocks in 10 schools</p> <p>Construction of classroom block in 25 schools</p> <p>Discussion of school inspection reports</p>	2

11	Mobilization of parents to attract learners <i>Maximum 2 points on this performance measure</i>	Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school, <i>score: 2 or else score: 0</i>	There was no evidence that the LG Education department conducted activities to mobilize, attract and retain children at school.	0
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Investment Management

12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i>	There was no evidence of an up-to-date LG Assets register which sets out school facilities and equipment relative to basic standards at the DEO's office, nor were there copies in the sampled schools of Maleku CoU PS and Butenga Mixed PS. St. Jude Bukomansimbi only had a library inventory last updated on 25/05/2017.	0
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12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	There was evidence that the LG conducted a desk appraisal for all sector projects in the budget the prioritised investment were derived from the LGDP; eligible for expenditure under sector guidelines as below: TPC meeting held on 29/01/2020 under min 5/TPC/JAN/2020 discussed about the Construction of rain water harvesting tank at Kyakajuyinga primary school in Kitanda sub county 15/08/2020- by district environmental officer- Lwanga Athanasius page 268 of the LGDP. Construction of 5 stance lined pit latrine at Bunyenya Primary School, desk was on 9th /7/2019 and field on 17/7/2019 page 261 of the LGDP 2015/2016 -2019/2020 .	1
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12	Planning and budgeting for investments <i>Maximum 4 points on this performance measure</i>	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0	There was no evidence that the LG conducted field Appraisal for technical feasibility; environmental and social acceptability; and customised designs over the previous FY.	0
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13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>There was evidence that two education infrastructure projects were incorporated into the LG procurement plan approved on 18th/05/2020 under minute no.CM/06/05/2020. Projects were seen in the plan under the following subjects of procurement:</p> <ol style="list-style-type: none"> 1. Classroom construction and rehabilitation (output 078180). The project to be implemented under this was Construction of a 2-classroom block at Kyamabale P/S in Kibinge S/C; and 2. Latrine construction and rehabilitation (output 078181). The project to be implemented under this output was Construction of a 5-stance pit latrine at Mirembe Moslem P/S in Kiteda S/C. 	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>There was evidence that the following education infrastructure projects sampled were approved by the contracts committee:</p> <ol style="list-style-type: none"> 1. Construction of Bukakango Seed Secondary School (MoES-UgiFT/WRKS/2018-2019/00018): <ol style="list-style-type: none"> a) The contracts committee approved M/S Victoria Construction Ltd during its siting on 12th/06/2019 under minute no. Buko04/DCC/06/18-19 undertake the above project at a contract price of UGX 2,137,012,470. 2. Construction of (2) 5-stance lined pit latrines at Kitasa Mixed Primary School in Bukomansimbi T/C and at St. Patrick Buyoga P/S in Kibinge S/C (Buko600/wrks/19-20/00012): <ol style="list-style-type: none"> a) The contracts committee approved M/S Azom Enterprises Ltd during its siting on 09th/12/2019 under minute no. Buko04/DCC/12/19-20 undertake the above project at a contract price of UGX 45,989,792. 3. Construction of a 2-classroom block with supply pf furniture (2 tables, 2 office chairs and 18 desks) at Bukango P/S (Buko600/wrks/19-20/00006): <ol style="list-style-type: none"> a) The contracts committee approved M/S Azom Enterprises Ltd during its siting on 05th/12/2019 under minute no. Buko04/DCC/12/19-20 undertake the above project at a contract price of UGX 69,999,866. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>There was no sufficient evidence that the LG established a Project Implementation Team. Only the project manager and supervisor were appointed for example as given in the sampled projects below:</p> <p>1. Construction of Bukango Seed Secondary School at Bukango S/C:</p> <p>a) The project supervisor/manager; Mr Saazi Martin the Ag. District Engineers was appointed on 07th/08/2019; and</p> <p>b) The Clerk of Works was also appointed but the letter was not availed.</p> <p>2. Construction of (2) 5-stance lined pit latrine at Kitaasa Mixed P/S in Bukomansibi Town Council and St. Patrick Buyoga P/S in Kibinge S/C:</p> <p>- The Head Teacher St. Patrick Buyoga P/S was appointed as a contract supervisor on 16th/12/2019</p> <p>Note: No other letter was availed</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>There was evidence that the standard technical designs provided by the MoES were followed.</p> <p>Findings of the site visit:</p> <p>a) Structures found on ground were; an administration block with a 2-stance pit latrine, 3 class room blocks with 2 classrooms on each block having 5-stance pit latrines for girls and 5-stance pit latrine for boys, Science Laboratory, Multipurpose building, 3 staff house blocks with each having a kitchen and a 2-stance pit latrine, ICT library and a playground (ground was cleared and leveled awaiting grass planting).</p> <p>Measurements:</p> <p>i) Measurements were made on various windows and doors openings to check if sizes specified on drawings were used. Also, number of door/window openings were checked to see if they were placed as per the drawings.</p> <p>ii) The findings from visual checks were that windows/door openings and their sizes were placed as per technical drawings. For example; the following were sample measurements for a staff house unit:</p>	1

- a) This consisted of the dining/lounge, bedroom 1, bedroom 2, store, shower and corridors constructed as per 2-unit staff house block floor plan (drawing no.SH/FP/01);
- b) Bedroom 02 floor size was 3330*3020mm where

as actual measurement 3170*2320mm, window size was 1200*1490mm whereas actual size was 1200*1490mm on the window schedule (drawing no. SH/SCH./07), the door size was 900*2380mm whereas actual size was 900*2400mm on the door schedule (drawing no. SH/DS/08);

- c) Bedroom 01 floor size was 2400*3270mm whereas actual measurement 2140*3220mm; window size was 1200*1480mm whereas actual size was 1200*1490mm on the window schedule (drawing no. SH/SCH./07), the door size was 900*2400mm whereas actual size was 900*2400mm on the door schedule (drawing no. SH/DS/08); and

- d) The corridor to the bedrooms was 900mm whereas actual size was 930mm.

Visual Checks

- a) The roof used was precoated and profiled galvanised steel roof sheet (gauge 26) as seen on the typical roof details (drawing no. SH/TD/02);
- b) There floor had not yet finished but concreting had been had awaiting cement floor screed finish;
- c) Plastering/rendering and painting had been done but no cracks/cases of poor finishes were seen.
- d) The window/door types used were those given on schedules for example; W01 as noted on the floor plan and windows schedule were standard casement windows, W20 z-angled frames.

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>There was no evidence that the LG conducted monthly supervision since there was no site meetings availed for the following sampled projects. In addition, the Environmental Officer was not traced anywhere in the site visit book (for seed school) and no reports of CDO and Environmental Officer were availed:</p>	0
			<p>1. Construction of Bukakango Seed Secondary School (MoES-UgiFT/WRKS/2018-2019/00018):</p>	
			<p>a) Supervision reports were seen compiled by the District Engineer only on 18th/11/2019, 16th/04/2020, 30th/06/2020, 28th/08/2020 and 03rd/09/2020.</p>	
			<p>b) No supervision reports from the CDO and Environmental Officer were availed.</p>	
			<p>2. Construction of a 3-classroom block with furniture and Supply of a pasting water tank at Ndalage Moslem P/S:</p>	
			<p>a) Supervision/project status reports were seen compiled by the Assistant Engineering Officer on 29th/04/2020, 27th/05/2020 and 24th/06/2020.</p>	
			<p>b) No supervision reports from the CDO and Environmental Officer were availed.</p>	
			<p>3. Construction of a 5-stance lined pit latrine at St Henry's Ndalage P/S</p>	
			<p>a) Supervision/project status reports were seen compiled by the Assistant Engineering Officer on 29th/04/2020, 27th/05/2020 and 24th/06/2020.</p>	
			<p>b) No supervision reports from the CDO and Environmental Officer were availed.</p>	
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was no evidence showing joint supervision by technical team (DE, CDO and Environmental Officer) at critical stages of construction. Neither joint monitoring reports nor independent reports compiled by the CDO and Environmental Officer were seen. Also, no minutes were availed from site meetings.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within</p>	<p>There was evidence that the sector infrastructure projects were properly executed and payments to contractors made within specified timeframes within the contract as follows:</p>	1

measure

the contract, score: 1, else
score: 0

1. Construction of Bukango seed school

Contract no: BUKO600/WRKS/18-19/00018

Contract sum: UGX 769,324,489

Payment process initiation was on 25/06/20

District Engineer signed 25/06/20

DEO signed on 25/06/2020

CFO signed on 25/06/2020

CAO signed on 25/06/2020

Date of payment was on 30 /06/2020

Amount paid UGX287,175,758 paid on
30/06/2020.

Interim Certificate 02 Dated 26/06/2020 ;

2. Construction of 3 classroom block with supply
and installation of plastic tank at Mbulire Moslem
Primary School at Kitanda Sub county.

Contract no: Buko600/WRKS/19-20/00022

Contract sum: UGX 169,792,500

Payment process initiation was on 28/06/2020

District Engineer signed 29/06/2020

DEO signed on 29/06/2020

CFO signed on 29/06/2020

CAO signed on 29/06/2020

Date of payment was on 30/06/20 20

Amount paid UGX 25,115,205

Interim payment certificate 3 dated 29/06/2020 ;
and

3. Renovation of 2 classroom block at
Kyakajwiyinga Primary School in Kitanda
Primary school

Contract No Buko600/WRKS/19-20/00011

Contract Amount: UGX21,953,310

Initiation of payment was on 25/05/2020

DEO signed on 25/05/2020

CFO signed on 29/05/2020

CAO signed on 29/05/2020

Payment was on 29/06/2020

Interim certificate no 2 dated 27/05/2020 .

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, score: 1, else, score: 0</p>	<p>There was evidence that the Education department submitted Education Infrastructure Projects to PDU by 30th of April.</p> <p>The procurement plan was submitted on 16th/04/2019.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>There was evidence showing complete procurement files for following education infrastructure projects sampled below:</p> <p>1. Construction of Bukakango Seed Secondary School (MoES-UgiFT/WRKS/2018-2019/00018)</p> <p>a) The Evaluation Report was signed on 11th/06/2019;</p> <p>b) The evaluation report was approved by the contracts committee on 12th/06/2019 under minute no. Buko04/DCC/06/18-19;</p> <p>c) The contracts committee approved M/S Victoria Construction Ltd during its siting on 12th/06/2019 under minute no. Buko04/DCC/06/18-19 undertake the above project at a contract price of UGX 2,137,012,470; and</p> <p>d) The contract agreement was signed on 07th/08/2019.</p> <p>2. Construction of (2) 5-stance lined pit latrines at Kitasa Mixed Primary School in Bukomansimbi T/C and at St. Patrick Buyoga P/S in Kibinge S/C (Buko600/wrks/19-20/00012):</p> <p>a) The evaluation report was signed on 04th/12/2019;</p> <p>b) The evaluation report was approved by the contracts committee on 09th/12/2019 under minute no. Buko04/DCC/12/19-20;</p> <p>c) The contracts committee approved M/S Azom Enterprises Ltd during its siting on 09th/12/2019 under minute no. Buko04/DCC/12/19-20 undertake the above project at a contract price of UGX 45,989,792; and</p> <p>d) The contract agreement was signed on 30th/12/2019.</p> <p>3. Construction of a 2-classroom block with supply pf furniture (2 tables, 2 office chairs and 18 desks) at Bukango P/S (Buko600/wrks/19-20/00006):</p> <p>a) The evaluation report was signed on 03rd/12/2019;</p>	1

b) The evaluation report was approved by the contracts committee on 05th/12/2019 under minute no. Buko04/DCC/12/19-20;

c) The contracts committee approved M/S Azom Enterprises Ltd during its siting on 05th/12/2019 under minute no. Buko04/DCC/12/19-20 undertake the above project at a contract price of UGX 69,999,866; and

d) The agreement was signed on 14th/01/2020.

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was no evidence of the Grievance Redress Committee, No grievance log, no investigations conducted and Responses made.</p>	0
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence that LG had disseminated the Education guidelines on "green" schools and energy and water conservation in the sample schools of Maleku CoU PS, Butenga Mixed PS and St. Jude Bukomansimbi PSs.</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, *score: 2, else score: 0*

There was inadequate evidence of including ESMPs with costs into the BoQs and Contract Documents for the following Education Projects:

1. Construction of five stances lined pit latrine at Bunyeenya P Sch., in Kibinge Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00008 awarded to M/s D-MUK Investments (U) Limited at UGX 22,700,000. Bill No. 7 – Mechanical Installation, Element G – Environmental Mitigation Measures at UGX 200,000;

2. Construction of Bukango Seed Sec Sch., dated 07th August 2019. Proc. Ref. No. Buko600/wrks/18 – 19/00018 awarded to M/s Victoria Construction Limited at UGX 2,137,012,474. Environmental and Social Mitigation Plan (ESMP) was incorporated into the Contract on Page 76 of 106;

3. Construction of five stances lined pit latrine at St. Henry's Ndalage P Sch., in Kitanda Sub County dated 29th June 2020. Proc. Ref. No. Buko600/wrks/2019-2020/00022 awarded to M/s Kasase Enterprises Limited at UGX 22,966,000. Environment issues not incorporated as required;

4. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Ndalagge Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Azom Enterprises Limited at UGX 169,990,999. Environment issues not incorporated as required;

5. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Mbulire Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Kasase Enterprises Limited at UGX 169,792,500. Environment issues not incorporated as required; and

6. Construction of two-classroom block at Kyakajwiga P Sch., in Kitanda Sub County dated 25th May 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0011 awarded to M/s Byaruhanga Kaida Construction Company Limited at UGX 21,953,310. Environment issues not incorporated as required.

16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence to prove ownership of sites where Bukomansimbi DLG constructed education facilities in the previous FY.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was evidence that Environmental Officer conducted support supervision and monitoring to ascertain compliance as follows:</p> <ol style="list-style-type: none"> 1. Monitoring report dated 24th September 2019 for the Construction of five stances lined pit latrine at Bunyeenya P Sch., in Kibinge Sub County; 2. Progress reports dated 18th November 2019 and 28th August 2020 for the Construction of Bukango Seed Sec Sch., and 3. Site meetings dated 29th July 2019 and 18th August 2020 for the Construction of Bukango Seed Sec Sch. 	2

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence of completed E&S Compliance Certification Forms signed by the District Environmental Officer prior to payments of contractors' invoices/ certificates at interim and final stages of the following projects:

1. Construction of five stances lined pit latrine at Bunyeenya P Sch., in Kibinge Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00008 awarded to M/s D-MUK Investments (U) Limited at UGX 22,700,000. Payment certificate No. 1 of UGX 21,561,427 was signed by the District Environment Officer – Mr. Lwanga Athanasius on 06th May 2020;

2. Construction of five stances lined pit latrine at St. Henry's Ndalage P Sch., in Kitanda Sub County dated 29th June 2020. Proc. Ref. No. Buko600/wrks/2019-2020/00022 awarded to M/s Kasase Enterprises Limited at UGX 22,966,000. Payment certificate No. 1 of UGX 21,817,439 was signed by the District Environment Officer – Mr. Lwanga Athanasius on 29th June 2019;

3. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Ndalage Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Azom Enterprises Limited at UGX 169,990,999. Payment certificate No. 2 of UGX 42,479,153 was signed by the District Senior Environment Officer;

4. Construction of three-classroom block, supply and install a plastic water tank, supply furniture at Mbulire Moslem P Sch., in Kitanda Sub County dated 23rd June 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0021 awarded to M/s Kasase Enterprises Limited at UGX 169,792,500. Payment certificate No. 2 dated 23rd June 2020 for UGX 39,477,598 was signed by the District Senior Environment Officer while Payment certificate No. 3 dated 29th June 2020 of UGX 25,115,205 was signed by the District Senior Environment Officer; and

5. Construction of two-classroom block at Kyakajwiga P Sch., in Kitanda Sub County dated 25th May 2020. Proc. Ref. No. Buko600/wrks/2019 – 2020/0011 awarded to M/s Byaruhanga Kaida Construction Company Limited at UGX 21,953,310. Payment certificate No. 2 of UGX 3,917,895 dated 27th May 2020, was signed by the District Senior Environment Officer.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>The LG did not register increased utilization of Health Care Services based on total OPD attendance, and deliveries as both OPD and deliveries were below 20%. Total OPD utilization increased by 14% from 26616 in 2018/2019 to 30392 in 2019/2020 and deliveries increased by 39% from 953 in 2018/2019 to 11428 in 2019/2020 for the 2 selected health facilities.</p> <p>In Kitanda HCIII OPD utilization increased by 29% from 7981 in 2018/2019 to 10275 in 2019/2020. While deliveries increased by 118 % from 94 in 2018/2019 to 205 in 2019/2020.</p> <p>In Kisojjo HCII OPD utilization increased by 2% from 4640 in 2018/2019 to 4745 in 2019/2020. Kisojjo HCII, being a health two, by MoH policy guidelines, it does not conduct deliveries.</p> <p>In Butenga HCIV OPD utilization increased by 10% from 13995 in 2018/2019 to 15372 in 2019/2020. While deliveries increased by 31% from 859 in 2018/2019 to 1123 in 2019/2020.</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 2 • 50 – 69% score 1 • Below 50%; score 0 	Not applicable	0

2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> • Above 75%; score 2 • 65 – 74%; score 1 • Below 65% ; score 0 	<p>The average score for RBF Facility Assessment for the last quarter of the Financial Year for HCIIIs and HCIVs attained was 70.9 % as reflected by RBF Facility assessment records as seen file below;</p> <ol style="list-style-type: none"> 1 Kawoko HCIII 78.40% 2 Buyoga HCIII 86.90% 3 Bigasa HCIII 63.50% 4 Kitaasa HCIII 81.90% 5 Makukuulu HCIII 73.70% 6 Kitanda HCIII 65.80% 7 Luyitayita CIII 58.70% 	1
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>There was evidence that the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines. The health development grant was UGX 16,792,000 per the AWP and was used for renovation of the dental unit at the cost of UGX 16,792,000.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>There was evidence that the DHO, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers</p> <ol style="list-style-type: none"> 1. Construction of a surgical ward at Butanga Health Center IV <p>Contract: no Buko600/WKS/19-20/00016</p> <p>Contract Sum: UGX407,036,000</p> <p>Initiation payment was on 10/06/2020</p> <p>District engineer 15/06/2020</p> <p>DHO signed on 15/06/2020</p> <p>CFO signed on 26/06/2020</p> <p>CAO signed on 26/06/2020.</p> <p>Payment was on 29/06/2020</p> <p>Interim certificate on 15/06/2020 ;</p> <ol style="list-style-type: none"> 2. 3 phased power supply to Butanga Health Center IV 	2

Contract no Buko600/WRKS/19-20/00018

Contract Sum: UGX37,509,401

Initiation of payment was on 24/04/2020

District Engineer 08/05/2020

DHO signed on 27/04/2020

CFO signed on 8/05/2020

CAO signed on 08/05/2020

Payment was on 08/05/2020

Certificate was on 7/05/2020 ; and

3. Upgrade of

Contract Sum: UGX

Initiation was on

DHO signed on

District Engineer on

CAO signed on 25/06/2020

Payment was on 25/06/2020

Certification by environment was on
14/05/2020

Certification by Engineer was on
18/06/2020 .

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

There was evidence that the variation in the contract prices and engineers estimates in the works contracts sampled for the health infrastructure below for FY 2019/2020 were within +/- 20% as shown below:

1. Renovation of Kitanda Laboratory (Buko600/wrks/2019-20/00023) at a contract price of UGX 39,948,000 and engineers estimate at UGX 40,000,000. The variation in the two prices was 0.13%;
2. Construction of a Surgical Ward at Butenga HC IV at a contract price of UGX 407,036,000 and engineers estimate of UGX 407,051,800. The variation in the two prices was 0.004%; and
3. Supply and delivery of assorted medical equipment at Bukomansimbi District Local Government at a contract price of UGX 129,688,000 and engineers estimate of UGX 132,000,000. The variation in the two prices was 0.75%.

Note: Formula used was Variation = $((\text{Engineers Estimate} - \text{Contract Price}) / \text{Engineers Estimate}) * 100$

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

There was evidence that health projects for the previous FY where contracts were signed were completed. These were;

1. Renovation of Laboratory at Kitanda HC III in Kitanda Subcounty;
2. Construction of a Surgical Ward at Butenga HC;
3. Supply and delivery of assorted medical equipment at Bukomansimbi District Local Government;
4. Supply and delivery of one motorcycle to Bukomansimbi District Health Care Services department,
5. Supply and delivery of 50 Emergency kits for VHTs, 10 cooler boxes and 3 microscopes;
6. Electricity upgrade from single phase to three-phase at Butenga Health Centre IV un Butenga S/C;
7. Supply and delivery of a bio safety cabinet to Butenga Health Centre IV in Butenga S/C

Note: All the above projects were completed

Therefore, the percentage of completed projects = (projects completed/total projects executed) = (7/7) *100 =100%

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure

- If above 90% score 2
- If 75% - 90%: score 1
- Below 75 %: score 0

Establishment 146, filled 118, vacant 28 80%

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>The checklists specified that Health Centres upgraded from II to III would be considered. Basing on that therefore, the LG did not have any existing or newly constructed HC II upgraded to HC III. However, it was scored its mark as specified in the manual.</p>	2
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Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of health workers filled in the facilities visited (Butenga HCIV, Bigasa HCIII and Kitanda HCIII) was not accurate.</p> <p>In Butenga HCIV 13 staff had been posted there but were not working in the facility.</p> <p>In Kitanda HCIII, 7 staff who had been posted in that facility were not working there.</p> <p>In Bigasa HCIII, there were 4 staff who had been posted there but were not working in the facility.</p>	0
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information on health facilities upgraded or constructed and functional was accurate. The list of constructed health facilities for FY 2019/2020 that were available at the DHO's office and in the PBS annual report 2019/2020 indicated the same information below as;</p> <p>1) Construction of surgical and TB wards at Butenga HCIV located in Butenga Sub County.</p>	2
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6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:

- Score 2 or else 0

From the sampled health facilities of Butenga HCIV, Bigasa HCIII and Kitanda HCII, only Butenga prepared and submitted Annual Work plans and budgets to the DHO after the deadline of March 31st of the previous FY as reflected from the 3 sampled facilities below:

Butenga HCIV submitted on 20th August 2019,

The other two health facilities of Bigasa HCIII and Kitanda HCII) did not have work plans and Budgets on file at the time of assessment.

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

- Score 2 or else 0

The three sampled health facilities of Mirambi HCIII, Kisojjo HCII and Kawoko HCIII did not have Annual Budget Performance Reports for the previous FY on file.

0

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

- Score 2 or else 0

There were no health facility improvement plans for the current FY for the sampled health facilities of Kiganda HCIII, Kyakatebe HCII and Luyitayita HCIII) on file

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

- score 2 or else score 0

All the health facilities sampled did not submit 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). Details of the 3 sampled health facilities are presented below:

1. Makukuulu HC III did not submit 100% of reports within 7 days following the end of each month and as seen on HMIS 105 hard copies on file. In the month of March, the reports were submitted on 9th of April after the deadline (7 days following end of month).

July (07-Aug), Aug (05-Sep), Sept (07-Oct), Oct (07-Nov), Nov (06-Dec), Dec(07-Jan), Jan(07-Feb), Feb(06-Mar), Mar(09-Apr), Apr(06-May), May (05-Jun) and June (07-Jul)

2. Kawoko HCIII did not submit 100% of reports within 7 days following the end of each month and quarter. In the month of February, the reports were submitted on 11th March after the deadline of 7 days following end of month.

July(06-Aug), Aug (05-Sep), Sept (07-Oct), Oct (07-Nov), Nov(05-Dec), Dec(07-Jan), Jan(07-Feb), Feb (11-Mar) Mar (missing),Apr(07-May), May(05-Jun) and (07-Jul)

3. Kyakateba HCII did not equally submit 100% of reports within 7 days following the end of each month and quarter. In October and November 2019, the reports were missing submission dates and in the month of March and Aril 2020, reports were submitted on 11th after the deadline of 7 days following end of month.

July (missing), Aug(03-Sep) Sept(07-Nov), Oct (no dates) Nov (No dates), Dec (06-Jan), Jan (No data) Feb (03-Mar), Mar(11-May), Apr(11-May), May(03-Jun) and June (07-Jul)

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

No data as regards submission of RBF invoices timely (by 15th of the month following end of the quarter)

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0

The LG did not timely verify, compile and submit to MoH facility RBF invoices for all RBF Health Facilities. All the submission dates were after the deadline of end of 3rd week of the month following end of the quarter as details below:

1. In quarter 1, invoices were submitted on 18th Dec 2019;
2. In quarter 2, invoices were submitted 5th May 2020
3. In quarter 3, invoices were submitted on 29th May 2020
4. In quarter 4 invoices were submitted on 28th July 2020.

0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0

The Bukomansimbi LG compiled and submitted all Quarterly (4) Budget Performance Reports as follows:

First quarter was submitted on 12/12/2019;

Second quarter was submitted on 30/01/2020;

Third quarter was submitted on 30/04/2020; and

Fourth quarter was submitted on 20/08/2020.

1

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

h) Evidence that the LG has:
i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0

The LG did not develop Performance Improvement Plans for the weakest performing for the following health facilities;

1.Luyitayita HCIII at 58%

2.Butengo HCIV at 59%

3.Bigasa HCIII at 63.5%

4.Makukuulu HCIII at 73.7%

0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>The DHMT had not implemented the Performance Improvement Plan for weakest performing facilities.</p>	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was evidence that the LG budgeted for the health workers as per the staffing norms as seen on file. UGX 1,477,326 in thousands. Performance Contract for FY 2019/2020 dated 25th July 2019.</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG deployed Health workers according to the staffing norms of 143 filled out of 119 giving a percentage of 83.2 as HRH staffing data dated 30th June 2020.</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>From the sampled health facilities, of Butenga HCIV, Bigasa HCIII and Kitanda HCIII, there was evidence that some health workers were not working where they were deployed.</p> <p>In Butenga, the following staff were posted in this facility but not working as per deployment list of health workers 2019/2020</p>	0

measure

1. Senior clinical officer (Taboora Godfrey), was transferred
2. Enrolled Midwife (Nankya Proscovia) not working in Butenga
3. Nursing Assistant (Nakyeyune Gorreth) not working in Butenga
4. Enrolled Nurse (Nakaana Gerald) not working in Butenga
5. Porter (Kizito Denis)
6. Enrolled Nurse (Nakiweewa Hadijah)
7. Enrolled Midwife (Nakasagga betty)
8. Assistant Inventory Mgt Officer (Kyobuule cate) deployed in DHOs office
9. Cold Chain Assistant (Juuko Sulaiman)
10. Seniro Health educator (Walusimbi Richard)
11. Health Information Assistant (Luwugge Henry sserwadda)
12. Laboratory Assitant (Nakachwa Regina)
13. Enrolled Nurse (Naboona Deborah)
14. Senior Nursing officer(Nansamba Grace)
15. Enrolled Midwife (Nagadya Winfred)

In Bigasa HCIII, the following staff were not working in the facility;

1. Nursing Officer (Nassali Rita Vicky)
2. Enrolled Nurse (Bukanya Faridha)
3. Laboratory Technician (Nakyeyune Josephine)

In Kitanda HCIII, the following staff were not working here;

1. Clinical Officer, (Duungu Carol)
2. Enrolled Midwife (Mukyala Rose)
3. Enrolled Midwife (Nabukeera Florence)
4. Health Information Assitant (Sendijja Rogers)
5. Laboratory assistant (Ssemusu Bosco)
6. Enrolled Nurse (Nakawuku Kijjambo Ritah)
7. Enrolled Midwife (Nagujja Winnie)

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There was evidence that the LG had not publicized health workers deployment and disseminated by, among others, posting on facility notice boards for all the facilities sampled;

In Butenga HCIV, there was no list of health workers pinned on the notice board. However, departmental duty rosters were pinned on their respective Notice boards.

In Bigasa HCIII, the list of health workers dated was not seen on the notice board

In Kitanda HCIII. The list of the health workers was pinned on the notice board for FY 2020/2021

0

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

There was evidence that the health facility in charges had been appraised during the previous FY.

- Bukenya Wasswa Timothy Kitanda HCIII appraised by Dr. Kato Alfred Tumusiime on 17/08/2020
- Nalutaaya Pauline KISOJO HCIII appraised by Ssali Andrew on 3/7/2020
- Nalukenge Benedicta Rose kigangazi HCII appraised by Kabinga Micheal on 3/8/2020
- Nassali Ritah Vicky, kaggogo HC appraised by Ssali Andrew on 1/07/2020.
- Tabaaro Godfrey , Mirambi HC appraised by Dr. Kato Alfred Tumusiime on 10/08/2020
- Musibula Julius Bigasa HCIII, appraised by Nzanzu Remegio on 17/08/2020
- Dr. Mwebe Ponsiano, Butenga HCIV appraised by Kato Alfred on 2/7/2020

1

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

There was evidence that health facility workers were appraised by their facility in charges during the previous FY

- Natukuma Israel clinical officer appraised by tabaaro Godfrey on 03/07/2020
- Nassonko suzan enrolled nurse appraised by tabaaro godfrey 30/06/2020
- Sserwanja Jawado, Nursing officer appraised by wasswa bukenya, on 05/08/2020
- Nakasagga Betty enrolled mid wife appraised by
- Jjuko Cephas enrolled Nurse appraised by Nalukenge benedicta 17/08/2020
- Kyeninya Frank enrolled nurse appraised by nalukenge benedicta on 30/08/2020
- Nannyange Stelah enrolled midwife appraised by musiibula Julius. On 08/09/2020
- Namagembe Mary, Nursing officer appraised by musibula Julius on 25/08/2020
- Nabukeera Caroline Nursing officer appraised by Nalutaaya Pauline 30/06/2020
- Namayengo Proscovia , enrolled nurse appraised by Nalutaaya Pauline on 30/07/2018
- Kiwalabye Abasi comprehensive nurse by Nassali Rhita Vicky on 7/7/2020

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

iii. Taken corrective actions based on the appraisal reports, score 2 or else 0

There were no corrective action recommended

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

b) Evidence that the LG:
i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0

There was evidence that the training activities for the previous FY were conducted and documented in the training database as reflected in the training reports below.

1. Training report of health workers in Emergency Medical services data tools dated 27th – 29th Jan 2020 where 30 people attended the training including; Midwife (2), Nursing Assistant (5), Nursing Officer, (5), Public Health Nurse (1), Clinical Officer (2), Data clerks (11), Medical officer (1), Health Assistant (2) and Biostatistician (1).the training was funded Korea Foundation for International Healthcare.

2. Training on CFAR training of VHTs Under KFIH Projecting dated 24th Jan 2020.

1

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Documented training activities in the training/CPD database, score 1 or else score 0

There was no training/CPD database to capture documentation of training activities at the time of the assessment

0

Management, Monitoring and Supervision of Services.

9

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0

The letter from the CAO notifying the MOH in writing of the list of facilities accessing the PHC NWR Grants (GoU and PNFP that received PHC NWR grants) for the current FY was not written.

0

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG made allocation towards monitoring service delivery and management of UGX 7,610,000. The budget for non-wage UGX 24,000,000. This was 31%</p>	2
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>The LG did not timely warrant direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget as below:</p> <p>Quarter 1 warranted on 30/07/2019 and release was on 09/07/2019 10 days;</p> <p>Quarter 2. warranted on 14/10/2019 and release was on 02/10/2019 14days;</p> <p>Quarter 3 warranted on 14/01/2020 and release was 28/02/2020 14days; and</p> <p>Quarter 4 warranted on 6/05/2020 and release was on 15/05/2020 9 days.</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice and communicate all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter as follows:</p> <p>Quarter 1 warranted on 30/07/2019 and release was on 09/07/2019 10 days;</p> <p>Quarter 2. warranted on 14/10/2019 and release was on 02/10/2019 14days;</p> <p>Quarter 3 warranted on 14/01/2020 and release was 28/02/2020 14days; and</p> <p>Quarter 4 warranted on 6/05/2020 and release was on 15/05/2020 9 days.</p>	0

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG did not publicise all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED</p> <p>Quarter 1; 20th August 2019</p> <p>Quarter 2: 8th November 2019</p> <p>Quarter 3: 11th Feb 2020</p> <p>Quarter 4: 6th April 2020.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the health department implemented actions recommended by the DHMT quarterly performance review meetings of the previous FY as reflected in the quarterly supervision minutes of 5th Sept 2019 and 30th Sept 2019</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The DHMT quarterly performance review meetings were held as reflected in the DHMT meetings dated</p> <p>10th Oct 2019, 17th Dec 2019 and 20th Feb 2020.</p> <p>These meetings involved DHO, SEC for Health, Health facility In-charges, CAO, Chairman LCV, Biostatisticians, Health Educator, IPs (Rakai Health Sciences Program) CDOs and Education as seen on the attendance lists.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The District did not supervise 100% the HCIVs at least once every quarter in the previous FY .Only 3 quarterly supervision reports were available (Q2, Q3 and Q4) on file at the time of assessment as detailed;</p> <p>Quarter 2, supervision was conducted for 5 days from 9th – 13th December 2019.</p> <p>In the 3rd Quarter, support supervision was conducted for 5 days from 16th -20th March 2020 and in the 4th quarter they were conducted for 7 days from 15th to 19th April 2020.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>There was no evidence that the Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was no report on file at the time of assessment for the three sampled health facilities</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The guidance was given to health facility in-charges on secure, safe storage and disposal of medicines and health supplies. This was done during quarterly support supervisions conducted by the medicines management supply (MMS) officer as dated in support supervision conducted for 5 days from 9th – 13th December 2019.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>The LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities as follows:</p> <p>Budget for health promotion in LG approved Budget estimate.</p> <p>Total budget for Health Office Budget: UGX 21,600,000</p> <p>Health care management service UGX 7,413,000 ;</p> <p>Immunisation services UGX 12,689,000 ; and</p> <p>Public Health Promotion UGX 1,500,000 .</p>	2

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>No report on file on DHT/MHT led health promotion, disease prevention and social mobilization activities</p>	0
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11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>No report was available as evidence at the time of assessment</p>	0
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Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was no asset register that detailed health facilities and equipment in the LG relative to the medical equipment for health facilities and service standards.</p>	0
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); score 1 or else score 0</p>	<p>There was evidence that the prioritised investments in the health sector for the previous FY were derived from the LG Development Plan; desk appraisal by the LG; and eligible for expenditure under sector guidelines and funding source as follows:</p> <p>TPC held meeting 27/11/2019 under minute 08/TPC/NOV/2019</p> <p>Completion of staff houses at Butenga Health Centre IV the desk was on 9/7/2019 and field 17/7/2019 page of 284 of the LGDP ;</p> <p>Construction of surgical ward at Butenga Health Center IV – AWP page 69 ; and</p> <p>Procurement and instalment of an X-ray Machine for Butenga Health centre IV page 69 .</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was no evidence that the LG conducted field Appraisal to check for technical feasibility; environment and social acceptability; and customised designs to site conditions.</p>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There was evidence that screening was done for projects implemented in the previous FY as follows:</p> <ol style="list-style-type: none"> 1. Completion of staff houses at Butenga HC IV in Butenga Sub County as per the screening form dated 15th August 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius; and 2. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the screening form dated 19th October 2019. 	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>There was evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans.</p> <p>The health procurement plan was submitted to PDU on 03rd/04/2020.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>There was no evidence that the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY.</p> <p>Form PP1 was not submitted by the first quarter and even in the second quarter no requisition was submitted.</p>	0

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0

There was evidence that infrastructure investments for the FY 2019/2020 were approved by the Contracts Committee.

1. Renovation of Dental Unit at Butenga Health Centre IV in Butenga S/C (Buko600/WRKS/19-20/00019):

a) The Contracts Committee approved M/S Byaruhanga Kaida Construction Company during their siting on 12th/03/2020 under minute no Buko07/DCC/03/19-20 to undertake the above project at a contract price of UGX 16,033,000;

2. Renovation of Kitanda Laboratory (Buko600/wrks/19-20/00023):

a) The Contracts Committee approved M/S Kasase Enterprises Ltd during their siting on 12th/03/2020 under minute Buko06/DCC/03/19-20 to undertake the above project at a contract price of UGX 39,948,000.

3. Construction of a surgical ward at Butenga HC IV in Butenga S/C:

a) The Contracts Committee approved M/S Palm Construction Company Ltd during their siting on 13th/01/2020 under minute no Buko04/DCC/01/19-20 to undertake the above project at a contract price of UGX 407,036,000

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0

If there is no project, provide the score

There was no project done for UgiFT (Upgrade of HC II to III) in FY 2019/2020 by the LG and therefore no Project Implementation Team was established. However, the LG was scored its marks because the manual says so if no project was done.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>Sampling was to be done on existing or newly constructed HC II upgraded to HC III as specified in the checklist and because the LG did not have a project of this kind, sampling was not done. The score was, however, given to the LG because the manual allowed a score of LGs without this project.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>A copy of Clerk of works report was not seen because there was no project done for UgiFT (Upgrade of HC II to III) in FY 2019/2020. However, the LG was scored because the manual specified scoring LGs without this particular project.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was no project done for UgiFT (Upgrade of HC II to III) in FY 2019/2020 so no site meetings were looked at. The LG was, however scored because of the specification from the manual that LGs without the projects be given their mark.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>Supervision reports were not looked at because the LG did not implement a UgiFT project (Health Centre II to III) and as guidance given in the manual, the LG was scored it mark.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0

There was evidence that the DHO verified works and initiated payments of contractors within specified timeframes as follows:

1. Construction of a surgical ward at Butanga Health Centre IV

Contract: no Buko600/WKS/19-20/00016

Contract Sum: UGX407,036,000

Initiation payment was on 10/06/2020

District engineer 15/06/2020

DHO signed on 15/06/2020

CFO signed on 26/06/2020

CAO signed on 26/06/2020.

Payment was on 29/06/2020

Interim certificate on 15/06/2020 ;

2. 3 phased power supply to Butanga Health Centre IV

Contract no Buko600/WRKS/19-20/00018

Contract Sum: UGX37,509,401

Initiation of payment was on 24/04/2020

District Engineer 08/05/2020

DHO signed on 27/04/2020

CFO signed on 8/05/2020

CAO signed on 08/05/2020

Payment was on 08/05/2020

Certificate was on 7/05/2020 ; and

3. Construction of laboratory at Kitanda Health Centre IV

Contract No Buko600/WRKS/19-20/00023

Contract Sum: UGX 39,948,000

Initiation was on 29/06/2020

DHO signed on 29/06/2020

District Engineer on 29/06/2020

CFO signed on 29/06/2020

CAO signed on 29/06/2020

Payment was on 30/06/2020

Interim payment certificate 2 29/06/2020 .

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

There was evidence that the procurement files for health infrastructure projects for FY 2019/2020 were complete.

1. Renovation of Dental Unit at Butenga Health Centre IV in Butenga S/C (Buko600/WRKS/19-20/00019):

- a) The evaluation report was signed on 11th/03/2020;
- b) The evaluation report was approved by the Contracts Committee on 12th/03/2020 under minute no. Buko07/DCC/03/19-20;
- c) The Contracts Committee approved M/S Byaruhanga Kaida Construction Company during their siting on 12th/03/2020 under minute no Buko07/DCC/03/19-20 to undertake the above project at a contract price of UGX 16,033,000; and
- d) The contract agreement was signed on 27th/03/2020 between Bukomansimbi DLG and M/S Byaruhanga Kaida Construction Company.

2. Renovation of Kitanda Laboratory (Buko600/wrks/19-20/00023):

- a) The evaluation report was signed on 10th/03/2020;
- b) The evaluation report was approved by the Contracts Committee on 12th/03/2020 under minute Buko06/DCC/03/19-20;
- c) The Contracts Committee approved M/S Kasase Enterprises Ltd during their siting on 12th/03/2020 under minute Buko06/DCC/03/19-20 to undertake the above project at a contract price of UGX 39,948,000; and
- d) The contract agreement was signed on 27th/03/2020 between Bukomansimbi DLG and M/S Kaseese Enterprises Ltd.

3. Construction of a surgical ward at Butenga HC IV in Butenga S/C (Buko600/wrks/19-20/00016):

- a) The evaluation report was signed on 10th/01/2020;
- b) The evaluation report was approved by the Contracts Committee on 13th/01/2020 under minute no Buko04/DCC/01/19-20;
- c) The Contracts Committee approved M/S Palm Construction Company Ltd during their siting on 13th/01/2020 under minute no Buko04/DCC/01/19-20 to

undertake the above project at a contract price of UGX 407,036,000;

d) The agreement was signed on 17th/02/2020 between Bukomansimbi DLG and Palm Construction Company Ltd.

4. Supply and delivery of assorted medical equipment to Bukomansimbi DLG (Buko600/SPLS/19-20/00015):

a) The evaluation report was signed on 12th/02/2020;

b) The evaluation report was approved by the Contracts Committee on 20th/02/2020 under minute Buko04/DCC/02/19-20;

c) Contracts Committee approved M/S Crown Health Care (U) Ltd during their sitting on 20th/02/2020 under minute Buko04/DCC/02/19-20 to undertake the above project at a contract price of UGX 129,688,000;

d) The agreement was signed on 02nd/03/2020 between Bukomansimbi DLG and M/S Crown Health Care (U) Ltd.

Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was no evidence of the grievances log, investigations conducted and responses to cases handled.</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was evidence that Bukomansimbi DLG disseminated Healthcare Waste Management Guidelines on 23rd June 2020 to Butenga HC IV, Bigasa HC III, Kitanda HC III Mirambi HC III, Kaggogo HC II, Kigangazi HC II, and Kisojjo HC II.</p> <p>Other guidelines disseminated included Operation and Maintenance Guidelines, Sanitation and Hygiene Guidelines, Uganda National Sanitation Market Guidelines and Home Improvement Campaign Guidelines.</p>	2

15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was evidence that Bukomansimbi DLG had a functional system for healthcare waste management by M/S Green Label Services Limited contracted by Ministry of Health to collect highly infectious wastes, sharps, metal, plastics, and glass from all health facilities in Uganda.</p> <p>There was also a letter addressed to CAO Bukomansimbi dated 27th October 2019 titled “Resumption of Healthcare Waste Management Services” in 95 districts in Uganda including Bukomansimbi district.</p> <p>The Service provider availed coloured waste bins to health facilities to separate wastes and temporarily store them awaiting collection. The collection rate is twice per month from the designated collection centres namely – Butenga HC IV and Kitanda HC III.</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was evidence of training and awareness creation of Healthcare Waste Management from the report dated 23rd June 2020. Training took place at Butenga HC IV for eight participants from Butenga HC IV, Bigasa HC III, Kitanda HC III Mirambi HC III, Kaggogo HC II, Kigangazi HC II, and Kisojjo HC II.</p> <p>Areas covered include the introduction to healthcare waste management, ways of segregation of wastes, dangers of poor healthcare waste management, proper handwashing, hygiene culture, and strengthening Healthcare Waste Management protocols .</p>	1

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	<p>There was evidence of incorporating ESMPs with costs in the BoQs and Contract Documents for the following projects:</p> <ol style="list-style-type: none"> 1. Phased construction of staff quarters at Butenga HC IV in Butenga Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00009 awarded to M/s Central Contractors Limited at UGX 25,391,240. Bill No. 7 – Environmental Mitigation at UGX 450,000; 2. Construction of Butenga HC IV Surgical Ward dated 17th February 2020. Proc. Ref. No. Buko600/wrks/2019-2020/00016 awarded to M/s Palm Construction Limited at UGX 407,036,000. Rain water harvesting tank at UGX 2,450,000; 3. Renovation of Dental Unit at Butenga HC IV, in Butenga Sub County dated 27th March 2020. Proc. Ref. No. Buko600/wrks/2019-2020/00019 awarded to M/s Byaruhanga Kaida Construction Company Limited at UGX 16,033,000. No Environmental issues incorporated. 	2
Maximum 8 points on this performance measure				
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	There was no evidence to confirm ownership of the sites where health facilities are constructed.	0
Maximum 8 points on this performance measure				

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.

There was evidence of support supervision and monitoring of health projects as follows:

1. Monthly Progress report dated 26th February 2020 for all projects in the health department namely Phased Construction of Kitanda Health Promotion Centre; Renovation of TB Laboratories at Kitanda HC III and Mirambi HC III; Construction of Surgical Ward at Butenga HC IV and Renovation of OPD at Bigasa HC III and Mirambi HC III;

2. Monthly Progress report dated 07th May 2020 for all projects in the health department namely Phased Construction of Kitanda Health Promotion Centre; Renovation of TB Laboratories at Kitanda HC III and Mirambi HC III; Construction of Surgical Ward at Butenga HC IV and Renovation of OPD at Bigasa HC III and Mirambi HC III;

3. Monitoring reports dated 25th June 2020 and 24th September 2020 for the Phased construction of staff quarters at Butenga HC IV in Butenga Sub County;

4. Monthly Progress report dated 25th June 2020 for all projects in the health department namely Phased Construction of Kitanda Health Promotion Centre; Renovation of TB Laboratories at Kitanda HC III and Mirambi HC III; Construction of Surgical Ward at Butenga HC IV and Renovation of OPD at Bigasa HC III and Mirambi HC III; and

5. Monthly Progress report dated 24th September 2020 for all projects in the health department namely Phased Construction of Kitanda Health Promotion Centre; Renovation of TB Laboratories at Kitanda HC III and Mirambi HC III; Construction of Surgical Ward at Butenga HC IV and Renovation of OPD at Bigasa HC III and Mirambi HC III.

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There was evidence of completed and signed Environment and Social Certification Forms by the LG Environment Officer for the Phased construction of staff quarters at Butenga HC IV in Butenga Sub County dated 30th December 2019. Proc. Ref. No. Buko600/wrks/2019-2020/00009 awarded to M/s Central Contractors Limited at UGX 25,391,240. Payment certificate No. 1 dated 03rd March 2020 of UGX 18,696,621 was signed by the District Environment Officer.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	According to MWE MIS as at end of FY19/20, Bukomansimbi registered 87% functionality of rural water sources. Hence, score = 1.	1
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	According to MWE MIS for FY19/20, there was 223 WSCs out 1019WSCs = 21.9%. This is below 80% hence score =0.	0
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is a. Above 80% score 2 b. 60 -80%: 1 c. Below 60: 0 (Only applicable when LLG assessment starts)	LLG assessment has not been done yet.	0

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

b. % of budgeted water projects
implemented in the sub-counties
with safe water coverage below the
district average in the previous FY.

o If 100 % of water projects are
implemented in the targeted S/Cs:
Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

Using FY19/20, 4th Quarter report dated
15/0/2020, it was established that
District Coverage was 60% and the
coverage in subcounties was as follows:

Kitanda – 45%; Bigasa – 48%;
Bukomansimbi TC – 89%; Kibinge –
57%; Butenga – 61%.

Construction projects in FY19/20 in
subcounties with coverage below the
district coverage were:

- Construction of 2 VTs in Kitanda (45%)
and Bigasa (48%) = UGX89,993,784/=;

- Drilling of 2 production wells in
Kitanda and Kibinge (54%)=
UGX69,454,868/=;

- Construction of brick masonry 2no.
RWTs at Kibinge and Bukomasimbi TC
(89%) = UGX26,949,784/=;

- Construction of a urinal onto an
existing public toilet = UGX3,65,908/=.

All this work was also done to 100%
completion. Hence, score = 2

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

c. If variations in the contract price of
sampled WSS infrastructure
investments for the previous FY are
within +/- 20% of engineer's
estimates

o If within +/-20% score 2

o If not score 0

20 as follows:

- Construction of 2 VTs =
UGX89,993,784 / UGX90,000,000 (=
contract price/engineer's estimate) =
99.9%;

- Drilling of 2 production wells =
UGX69,454,868 /UGX70,600,000 =
98.4%;

- Construction of brick masonry 2no. =
UGX26,949,784 /UGX25,995,766 =
103.%;

- Construction of a urinal onto an
existing public toilet = UGX3,657,908 /
UGX3,657,908 = 100%.

All these projects are within the 80% -
120% range, hence, score = 2

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <ul style="list-style-type: none"> o If 100% projects completed: score 2 o If 80-99% projects completed: score 1 o If projects completed are below 80%: 0 	<p>As per annual report of FY19/20, all projects were fully completed by 30/6/20, hence score =2.</p> <p>The projects were:</p> <ul style="list-style-type: none"> • Construction of 2 VTs; • Drilling of 2 production wells • Construction of 2no brick masonry RWTs; • Construction of a urinal onto an existing public toilet. 	2
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <ul style="list-style-type: none"> o If there is an increase: score 2 o If no increase: score 0. 	<p>According to MWE MIS FY19/20, functionality was 85% while that for FY18/19 was 85%.</p> <p>Thus, functionality stagnated at 85%. There was NO increase; hence score = 0.</p>	0
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 5%: score 2 o If increase is between 0-5%: score 1 o If there is no increase: score 0. 	<p>According to MWE MIS FY19/20, there were 223 functional WSCs out of 1019 WSCs, thus functionality of facilities was 21.9%. That for FY18/19 was 223 WSCs out of 1009, thus 22.1%.</p> <p>Thus, there was a decrease of 0.2% in the % of water supply facilities that are functioning; hence score =0.</p>	0

Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>3</p> <p>There was evidence that DWO accurately reported on WSS facilities constructed in FY19/20 as per annual Report dated 15/7/2020.</p> <p>A field visit on 23/11/20 was made to the following three water sources:</p> <ul style="list-style-type: none"> • 20m³ brick masonry RWT at St. Peter’s Primary School in Kibinge subcounty; • Kagologolo production borehole in Kitanda subcounty; • Makukuulu VT in Bujumba subcounty. <p>The three sampled facilities were completed as planned and are functioning properly.</p> <p>Hence, score =3.</p>
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>2</p> <p>There was evidence that that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation facilities, functionality of facilities and WSCs by way of reports availed in hard copy for 1st, 2nd, 3rd quarter; and annual report for FY19/20 dated 13/11/19, 28/01/20, 14/4/20; and 15/07/20 respectively.</p>
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>3</p> <p>There was evidence that quarterly Reports (for 1st, 2nd, 3rd quarter; and annual report for FY19/20 dated 13/11/19, 28/01/20, 14/4/20; 15/07/20 respectively) were availed and these show facilities constructed per subcounty.</p> <p>Thus, for example, at end of FY19/20 safe water coverage of the district was at 60% with LLGs standing as follows:</p> <ul style="list-style-type: none"> • Bukomansimbi TC – 89%; • Butenga subcounty – 61%; • Kibinge subcounty - 57%; • Bigasa subcounty – 48%; • Kitanda subcounty – 45%.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>Not applicable, LLG assessment has not been done yet.</p>	0
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Human Resource Management and Development

6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2</p>	<p>The DWO has budgeted for the following staff: 1 Civil Engineer (Water) – Buyungo. D; 3 Assistant Water Officers (1 for mobilization – Mulumba. A and 1 for sanitation and hygiene – Nzanzu. R); 2 AWOs (Water) – Matovu. C and Kayiwa. R</p> <p>These staff are present in the district; their names are also present on the district staff list and payroll for the current FY20/21.</p>	2
6	<p>Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>There was evidence that the LG has budgeted for Natural Resources Officer and Forestry Officer, who were paid salaries and allowances to fulfill their duties.</p> <p>Correspondingly, these staff were also appraised and appraisal forms were filled in dated 30/6/20, 31/10/20.</p> <p>However, the post of Environment Officer is vacant and the Natural Resources Officer is acting in this position. The LG has advertised to fill this position dated 03/11/20.</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<ul style="list-style-type: none"> • There was evidence that the LG appraised District Water Office staff during the previous FY. • These staff were appraised and appraisal forms have been filled in all dated 09/7/20 and 23/11/20. 	3

Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.

Maximum 6 points on this performance measure

b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3

- There was NO evidence that the DWO carried out a capacity needs assessment for ALL staff during the FY19/20. Correspondingly, there was no training plan formulated for FY20/21.

Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 6 points on this performance measure

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

There was evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district.

Using FY19/20 4th Quarter report dated 02/7/2020, it was established that the water coverage of the district was at 60% with subcounties standing as follows: Bukomansimbi TC – 89%; Butenga – 61%; Kibinge - 57%; Bigasa – 48% and Kitanda – 45%.

The annual budget for FY20/21 was UGX509,464,551/=.

Major construction projects in FY20/21 in subcounties that have safe water coverage below district average were:

- Construction of 3 VTs in Bigasa and Kitanda = UGX135,000,000.
- Training of communities in safe sanitation and good hygiene Kitanda, Bigasa and Lwemiyaga = UGX19,801,980;
- Rehabilitation of 21 boreholes in Kibinge, Butenga, Bigasa and Kitanda = UGX33,800,000;
- Construction of 4no. RWT in Kibinge, Bigasa and Kitanda = UGX73,200,000
- Construction of 1no. public lined pit latrines in Kibinge = UGX24,000,000;
- Construction of 2no. deep borehole in Kibinge and Kitanda = UGX50,000,000
- Design of Piped Water Scheme at Kitanda = UGX45,000,000;
- Protection of 4 springs in Kitanda, Butenga, Kibinge and Bigasa = UGX28,000,000 .
- Mobilisation of communities for improved water supply and sanitation – district wide.

This gives a total of UGX408,801,980/=.

Thus, UGX408,801,980 / UGX509,464,551 = 80%; hence a score =2.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was evidence that DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY as follows:</p> <ul style="list-style-type: none"> • Presented to District General Purpose Committee on 13/5/20 as draft AWP for FY20/21 under Minute BC/03/05/2020. • Presented in District Council as approved AWP for FY20/21 on 18/5/20 under Minute CM/07/05/2020. • Communicated the final AWP for FY20/21 to all LLGs in an Advocacy meeting held on 05/8/2020. 	3
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored quarterly: score 4 • If 80-99% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>There was evidence that DWO monitored 863 Water Supply and 3000 Sanitation facilities at the end of FY19/20 in the data capture exercise using LLG extension staff from Sept 2019 – June 2020.</p> <p>Hence, score = 4.</p>	4
9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2</p>	<p>There was evidence that DWSCC meeting was conducted on 27/9/19 and remedial action for identified issues was also planned for and implemented starting in FY 19/20 with spill-over into FY20/21.</p> <p>For example in DWSCC Meeting of 27/9/19;</p> <p>Minute 8: It was resolved to make bye-laws to control / prevent encroachment on wetlands and sensitise communities about penalties for encroachment. Community sensitisation meetings, basing on national laws and standards, were planned for implementation in FY20/21.</p>	2

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>There is evidence that DWO publicized budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties as per the following:</p>	2
	<p><i>Maximum 8 points on this performance measure</i></p>		<ul style="list-style-type: none"> • Presented to District General Purpose Committee on 13/5/20 as draft AWP for FY20/21 under Minute BC/03/05/2020. • Presented in District Council as approved AWP for FY20/21 on 18/5/20 under Minute CM/07/05/2020. • Communicated the final AWP for FY20/21 to all LLGs in an Advocacy meeting held on 05/8/2020. 	
			<p>Hence, score =2.</p>	
10	<p>Mobilization for WSS is conducted</p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p>	<p>Annual Report for FY19/20 dated 15/7/20 shows allocation for social mobilization of UGX12,950,500 in a NWR budget of UGX29,989,465 which was 43%.</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • If funds were allocated score 3 • If not score 0 	<p>Hence a score = 3.</p>	
10	<p>Mobilization for WSS is conducted</p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.</p>	<p>There is evidence that DWO in liaison with the CDO/AWO Mobilisation has trained WSCs on their roles on O&M of WSS facilities in Sampled WSCs:</p>	3
	<p><i>Maximum 6 points on this performance measure</i></p>		<p>A field visit on 23/11/20 was made to the following three water sources:</p>	
			<ul style="list-style-type: none"> • 20m3 brick masonry RWT at St. Peter's Primary School, Kisojjo in Kibinge subcounty; • Kagologolo production borehole in Kitanda subcounty; • Makukuulu VT in Kitanda subcounty. 	
			<p>The three sampled facilities were completed as planned; were functioning properly; the WSCs were in place and recalled training content.</p>	

Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<ul style="list-style-type: none"> • An asset register, as an inclusion in the annual report, was made showing water supply and sanitation facilities by subcounty. • There was evidence that this database is updated annually at reporting time: dated 15/7/2020. • This register shows total number of WSS facilities (latrines, shallow wells, springs, rainwater tanks, deep boreholes, dams, valley tanks and deep boreholes) present in each subcounty. 	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>There was evidence that the prioritized WSS investments were derived from the 5-year district development plan targeting investments in sub-counties with safe water coverage below the district average covering the FYs 20/21 – 24/25 draft presented to CAO on 18/05/2020.</p> <p>This development plan targeted construction of: 4no. piped water schemes; 15 deep boreholes; 10 solar powered water supply systems; 5no. lined public VIP latrines; 20no. springs; 15no. Valley Tanks and extension of piped water schemes.</p> <p>This clearly showed that the prioritized WSS investments were derived from the district development plans and are eligible for expenditure under sector guidelines.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was evidence that community applications were received together with community contributions made to the District Cash Office for the following projects:</p> <ul style="list-style-type: none"> • Kagologolo Piped Water Scheme UGX250,000/= dated 03/04/19; • Deep borehole at Buyoga SSS dated 26/10/20 with consent from Masaka Diocese; • Deep Borehole at St Francis Primary School, Kiryamenvu dated 02/5/19. 	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>There was evidence that the LG conducted field appraisal to check for technical feasibility, environmental social acceptability; and customized designs for the following projects:</p> <ul style="list-style-type: none"> • Kagologolo production borehole on 22/11/20; • Makukuulu and Kayunga VTs on 23/6/20 ; • Kisojjo and Babiito RWTs on 26/3/20; • Urinal on public latrine at Butenga on 23/6/20. <p>Drawings used were:</p> <ul style="list-style-type: none"> • Brick masonry tank at Matete Catholic Church: Drawing no. BUK/DWSCG/RWT/BM20; • Valley tanks: Drawing no. BUK/DWSCG/VT3000/1, 2; • Lined pit latrine 4-stance: BUK/DWSCG/LPL/4ST; <p>For boreholes: a) MWE standard Hole Designs A & B; b) MWE standard Designs for Borehole Headworks.</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>There was evidence that screening was done for all water infrastructure projects for the current FY as follows:</p> <ol style="list-style-type: none"> 1. Construction of the 30,000 liters Institutional Rain Water Harvesting Tank at Kyakajwiga P Sch. as per the screening form dated 15th August 2020 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius. Best Evaluated Bidder Notice dated 17th November 2020, Proc. Ref. No. Buko600/wrks/20-21/00001 - awarded to M/s R.B. Mubiru Services Limited at UGX 20,036,211. Bill No. F.1 – Mitigate Environment by planting and protecting fruit trees as approved by the District Engineer as UGX 20,000; 2. Construction of public toilet at Butayunja trading center, Butayunja Parish in Kibinge Sub County as per the screening form dated 30th October 2020. Contract dated 04th November 2020, Bill No. 9 – Environmental, Social and Health Safeguards at UGX 300,000 (item a, b and c); 	2

3. Construction of three valley tanks at Misenyi A Village, Makukuulu Parish in Kitanda Sub County, Lugando village, Bukango Parish and Kyakalinzi village, Butalanga Parish in Bigasa Sub County as per the screening form dated 30th October 2020; and

- 4. Construction of four medium-sized protected springs with two water outlets as per the screening form dated 30th October 2020. Contract dated 20th October 2020, the following sections referred to environmental issues:
 - Bill No. B 16 – Supply and fix four rounds of barbed wire on the wooden fencing poles with fencing nails at UGX 200,000;
 - Item B 17 – Plant Pusplum and live fence as approved by the supervisor at UGX 200,000;
 - Item B 18 – Mitigate environment by planting fruit trees and protecting them as approved by the Engineer at UGX 100,000;
 - Item B 19 – Cut-off drain around the fence and drainage channel as approved by the supervisor at UGX 20,000 and
 - Item B 22 – Allow for Social Screening.

12

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0

2

There was evidence that the water infrastructure investments were incorporated into the LG approved procurement plan dated 06/7/20 which reflected the following projects:

- Construction of piped water supply systems;
- Rehabilitation of boreholes;
- Drilling of deep boreholes;
- Construction of lined pit public latrines;
- Construction brick masonry rainwater tanks;
- Construction of Valley tanks.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction
Score 2:

There was evidence provided that water supply and public sanitation infrastructure projects for the FY 2019-2020 were approved by the Contracts Committee before commencement of construction. Sampled projects were:

1. Construction of Makuukulu and Kayunga Valley Tanks: Invitation to Bid dated 20/02/20; Evaluation approved on 09/3/20; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00024; Construction started on 30/3/20 and ended on 19/6/20;

2. Construction of Brick masonry Rain water Tanks at Kisojjo and Babiito: Invitation to Bid dated 13/11/19; Evaluation approved on 05/12/19; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00003; Construction started on 31/12/19 and ended on 27/3/20; and

4. Construction of a Urinal onto existing public Latrine at Butenga in Butenga Subcounty: Invitation to Bid dated 20/2/20; Evaluation approved on 09/3/20; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00017; Construction started on 30/3/20 and ended on 13/5/20.

Hence, score =2.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines
Score 2:

There was evidence that a Project Implementation Team for projects to be implemented in the current FY was established on 20/12/20. The team included: Contracts Manager – District Engineer Saazi, Projects Manager - DWO Buyungo, AWO - Matovu.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

There was evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO.

Drawings provided were:

- For boreholes: a) MWE standard Hole Designs A & B; b) MWE standard Designs for Borehole Headworks;
- For lined pit latrine 4-stance with urinal: BUK/DWSCG/LPL/4ST+U

The following constructed WSS facilities were visited on 23/11/20:

- 20m³ brick masonry RWT at St. Peter's Primary School, Kisojjo in Kibinge subcounty;
- Kagologolo production borehole in Kitanda subcounty;
- Makukuulu VT in Kitanda subcounty.

It was found that the WSS facilities were well constructed in accordance with standard MWE guidelines.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was evidence that the relevant technical officers participated in supervising WSS projects. The sampled projects were:

1. Construction of 20m³ brick masonry Rain Water Tank at Babiito, Bukomansimbi subcounty: site supervision on 24/4/20 by LC5 Chairman - Kateregga, District Engineer Saazi, DWO Buyungo, AWO Matovu and AWO Kayiwa. The team noted good progress of construction but Contractor was required to (i) complete installation of gutters which had been delayed by the school's failure to complete installation of fascia boards. (ii) improve the wall finishes including rough cast; (iii) clear the site of all construction debris. All these issues were attended to before site handover.

2. Construction of 20m³ brick masonry Rain Water Tank at St. Peter's Primary School, Kisojjo in Kibinge, subcounty: site supervision on 24/4/20 by LC5 Chairman - Kateregga, DWO Buyungo, AWO Matovu and AWO Kayiwa. The team noted good progress of construction to near-completion but Contractor was required to construct a soak-away pit filled with hardcore. This was done.

3. Construction of Urinal onto an existing public VIP latrine at Butenga, Butenga subcounty: site supervision on 26/6/20 by LC5 Chairman - Kateregga, District Engineer Saazi, DWO Buyungo, AWO Matovu and AWO Kayiwa. The team noted good progress of construction but Contractor was required to give the urinal another two coats of weather guard paint also similarly coloured to match the walls of the latrine. This, too, was done by the Contractor as requested.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

Maximum 14 points on this performance measure

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

There was evidence that the DWO verified works and initiated payments of contractors within specified timeframes in the contracts as follows:

1. Construction of Hydro-geological survey siting, drilling and test camping of the production well at Kagologolo

Contract No Buko600/WRKS/19-20/00005

Contract Sum: UGX35,301,150

Initiation of payment was on 22/06/2020

DWO signed on 23/06/2020

District Engineer on 23/06/2020

CFO signed on 26/06/2020

CAO signed on 26/06/2020

Payment date 26/06/2020

Final certificate 23/06/2020

Amount paid UGX 32,768,208;

2. Construction of urinal on existing lined pit latrine at Butenga

Contract: Buko600/WRKS/19-20/00017

Contract Sum: UGX3,657,908

Initiation of payment was on 11/06/2020

DWO signed on 23/06/2020

District Engineer signed on 23/06/2020

CFO signed on 26/06/2020

CAO signed on 26/06/2019

Payment was on 26/06/2020

Amount paid was UGX 3,475,013

Final Certificate No 1 dated 23/06/2020 ;

3. Hydro geological survey , siting, drilling and test pumping of the production well at Butayunja LCI

Contract: Buko600/WKS/19-20/00004

Contract Sum: UGX 35,301,150

Initiation of payment was on 22/06/2020

DWO signed on 23/06/2020

District Engineer signed on 26/06/2020

Payment was on 26/06/2020

Final Certificate no 1 23/06/2020 .

<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>Procurement Office availed complete Procurement Files on Construction projects comprising, among others, of: Invitation to Bid, Receipt of Bids, Evaluation, Award and Contract Agreement.</p> <p>There was evidence that each contract for water infrastructure investments had all relevant records as per the PPDA law. Procurement files for WSS sampled were:</p> <ol style="list-style-type: none"> 1. Construction of Makuukulu and Kayunga Valley Tanks: Invitation to Bid dated 20/02/20; Evaluation approved on 09/3/20; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00024; Contract Sum = UGX26,949,784. Construction started on 30/3/20 and ended on 19/6/20; 2. Construction of Brick masonry Rain water Tanks at Kisojjo and Babiito: Invitation to Bid dated 13/11/19; Evaluation approved on 05/12/19; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00003; Contract Sum = UGX25,999,896; Construction started on 31/12/19 and ended on 27/3/20; and 3. Construction of a Urinal onto existing public Latrine at Butenga in Butenga Subcounty: Invitation to Bid dated 20/2/20; Evaluation approved on 09/3/20; Contract awarded to RB Mubiru Services Ltd.; Contract No. BUKO600/WRKS/19-20/00017; Contract Sum = UGX3,657,908; Construction started on 30/3/20 and ended on 13/5/20.
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Environment and Social Requirements

<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence of the Grievances Log, Investigations, and Responses made for the previous FY.</p>
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There wasn't enough evidence of dissemination of water source and catchment protection guidelines in the previous FY.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented:</p> <p>Score 3, If not score 0</p>	<p>There was evidence that water source protection plans were prepared for all water source facilities in the previous FY as follows:</p> <ol style="list-style-type: none"> 1. Construction of Rain Water Harvesting Tanks at St. Peter's P Sch., Kisojjo Parish in Kibinge Sub County and Babiito P Sch., in Bukomansimbi Town Council as per the mitigation plans dated 25th October 2019; 2. Construction of two valley tanks at Makukuulu village, Makukuulu Parish in Kitanda Sub County, and Kayunga village, Kigangazi Parish in Bigasa Sub County as per the mitigation plans dated 25th October 2019; 3. Drilling of two Production Wells at Kagologolo trading centre, Mitigyera Parish in Kitanda Sub County, and Butayira trading centre, Butayira Parish in Kibinge Sub County as per the mitigation plans dated 25th October 2019; and 4. Construction of Urinal to an existing Public Toilet at Butenga Town Board in Butenga Sub County as per the mitigation plans dated 25th October 2019. 	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence to prove that Bukomansimbi DLG owns or is allowed to use the sites where water facilities are constructed.</p>	0

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:

Score 2, If not score 0

There was no evidence of E&S Certification forms signed by the Environment Officer and CDO as required for the following projects:

1. Construction of two 20,000 litres of Institutional Rain Water Harvesting Tanks at St. Peter's P Sch., Kisojjo in Kibinge Sub County and Babiito P Sch., in Central Ward, Bukomansimbi Town Council dated 31st December 2019. Proc. Ref. No. Buko600/wrks/19-20/00003 awarded to M/s R. B. Mubiru Services Limited. Final payment Certificate No. 1 dated 30th March 2020 for UGX 24,695,978 was not signed by the Environment Officer and CDO as required;
2. Construction of two 3,000 M3 valley tanks at Makukuulu village in Kitanda Sub County and Kayunga village in Bigasa Sub County dated 30th March 2020. Proc. Ref. No. Buko600/wrks/19-20/00024 awarded to M/s R. B. Mubiru Services Limited. Final payment Certificate No. 1 dated 23rd June 2020 for UGX 25,602,295 was not signed by the Environment Officer and CDO as required;
3. Hydrogeological survey, siting, drilling, and test pumping of one Production Well at Butayunja LC I Village in Kibinge Sub County dated 13th January 2020. Proc. Ref. No. Buko600/wrks/19-20/00005 awarded to M/s MSR Technologies (U) Limited at UGX 35,301,150. Final Payment Certificate dated 23rd June 2020 for UGX 33,213,917 was not signed by the Environment Officer and CDO as required; and
4. Hydrogeological survey, siting, drilling, and test pumping of one Production Well at Kagologolo LC I Village in Kitanda Sub County dated 13th January 2020. Proc. Ref. No. Buko600/wrks/19-20/00004 awarded to M/s MSR Technologies (U) Limited at UGX 35,301,150. The Environment Officer and CDO did not sign final Payment Certificate dated 23rd June 2020 for UGX 32,768,208 as required.

Safeguards in the
Delivery of Investments

*Maximum 10 points on
this performance
measure*

d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:

Score 2, If not score 0

There was evidence that monitoring was done to ascertain compliance with ESMPs and provided monthly as follows:

1. Monitoring report dated 25th October 2019 for the Construction of Rain Water Harvesting Tanks at St. Peter's P Sch., Kisojjo Parish in Kibinge Sub County and Babiito P Sch., in Bukomansimbi Town Council;
2. Monitoring report dated 25th October 2019 for the Construction of two valley tanks at Makukuulu village, Makukuulu Parish in Kitanda Sub County, and Kayunga village, Kigangazi Parish in Bigasa Sub County;
3. Monitoring report dated 25th October 2019 for the Drilling of two Production Wells at Kagologolo trading centre, Mitigyera Parish in Kitanda Sub County, and Butayira trading centre, Butayira Parish in Kibinge Sub County; and
4. Monitoring report dated 25th October 2019 for the Construction of Urinal to an existing Public Toilet at Butenga Town Board in Butenga Sub County.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>There was no evidence that Bukomansimbi LG had up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	<p>There was no evidence that Bukomansimbi LG had increased acreage of newly irrigated land in the previous FY as compared to previous FY but one.</p>	0
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 4 • 60 – 69%; score 2 • Below 60%; score 0 <p>Maximum score 4</p>	Not applicable	0

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>The eligible activities in the first quarter of the FY 2020/2021 according to the project plan included: (i) awareness creation meeting at the district level, (ii) awareness creation meetings at sub-county level, (iii) awareness creation meetings at parish level, (iv) mass farmer awareness creation, and (v) registration of interested farmers.</p> <p>According to the quarterly performance report for quarter 1 FY 2020/2012 and according to the quarterly report on the UgiFT project produced by the Production and Marketing Department on 18/11/2020, the money received in the quarter was used on the above activities.</p>	2
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	Not applicable .	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	Not applicable	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0 	<p>No procurement and installation of irrigation equipment had been done yet by Bukomansimbi LG.</p>	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	16 position, 11 filled, 5 vacant 68%	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	No procurement and installation of irrigation equipment had been done yet by the LG.	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	No procurement and installation of irrigation equipment had been done yet by the LG.	0

Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	There was evidence that the information of extension workers filled was accurate as per the information displayed on the notice boards of Butenga sub county, Kibinge sub county and Bukomansimbi TC	2
5	Accuracy of reported information: The LG has reported accurate information Maximum score 4	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	No procurement and installation of irrigation equipment had been done yet by the LG.	0

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Procurement and installation of irrigation equipment had not yet been done by the LG. As such, there were no monitoring and supervision report yet.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>There was evidence that the LG had entered up to-date LLG information into MIS on review of the app's reports. The last entry made was on 20/11/2020.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>A quarterly report for quarter 1 of FY 2020/2021 was prepared by the District Agricultural Engineer. This report was dated 18/11/2020. This report showed that 186 farmers in Bukomansimbi had expressed their interest in the UgiFT project. It showed the sub-counties where these farmers were from. The report also showed that 17.7% of the farmers that had successfully expressed interest were female and 82.3% were male. The report also had pictures of the awareness creation meetings.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>d) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	<p>There was no evidence of an approved performance improvement plan. Bukomansimbi LG had a target of 124 farmers. Out of the awareness creation engagements undertaken by the LG, 186 farmers expressed their interest in the UgiFT project. As such, there was no need for the LG to have a performance improvement plan. The sub-counties of Bukango, Bukomansimbi TC, Bigasa, Kibinge and Kitanda that had fewer farmers was because these sub-counties have fewer water sources. Butenga sub-county that had the most number of farmers that expressed interest was because of the many water sources (wetlands) that the sub-county had.</p>	0

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence of development and implementation of a PIP in Bukomansimbi LG.	0
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Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	a) Evidence that the LG has: i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	According to the quarterly budget performance report for quarter 1 FY 2020/2021, extension workers were budgeted for by the LG. Performance appraisals for Masika Michealyn, Katumba Hassan and Nakalema Nasiim for FY 2019/2020 were seen.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	There was evidence that extension workers were deployed as per the guidelines. The staff list as of July 2020 listed the extension workers as per the sub-counties where they are stationed.	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	There was evidence that the extension workers were working in LLGs where they are deployed as per the staff attendance books. Bukomansibi TC attendance on 3/7/2019, 22/7/2019. Butenga Sub county attendance book on 13/9/2019, 31/1/2020 and Kibinge Sub county TPC minutes dated 17/3/2020, 7/1/2020, 12/12/2019, and 22/10/2019.	2

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was evidence that the extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board of Kibinge sub county, Butenga sub county and Bukomansimbi TC	2
Maximum score 6				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was evidence that the District Production Coordinator had Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY <ul style="list-style-type: none"> • Nakalema Nasiim , agricultural officer appraised by Lutaaya ahmed on 17/08/2020 • Katumba Hassan , agricultural officer appraised by Sali Andrew on 15/09/2020 • Masika Micheal, Agricultural officer appraised by Lutaaya ahmed 21/09/2020 • Musuuza Patrick, Senior Agricultural officer appraised by Dr. Ssekanwagi George William on 24/07/2020 • Ntale George fisheries officer appraised by Dr.Ssekanwagi George William on 14/07/2020 • Nabakka Georgia fisheries officer appraised by Ntale George on 16/7/2020 	1
Maximum score 4				
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	There were no corrective actions recommended	1
Maximum score 4				

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	There was evidence that training activities were conducted in accordance to the training plans at District level. These trainings were on the criteria that had to be followed in order for farmers to qualify to be part of the UgiFT project. Pictures are of these trainings were in the quarter 1 report for the FY 2020/2021 prepared by the District Agricultural Engineer on 18/11/2020.	1
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	ii Evidence that training activities were documented in the training database: Score 1 or else 0	Bukomansimbi LG did not have a training database.	0

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	The LG still in the preparation stage .	0
9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines. Maximum score 10	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	There was no evidence that the LG made allocations towards complementary services in line with the sector guidelines.	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Co-funding was not possible in the first year of operation</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>The LG did not use the farmer co-funding, this will only be possible in the second year of operation</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>There was evidence that Bukomansimbi LG disseminated information on use of the farmer co-funding. According to the quarter 1 report for FY 2020/2021 date 18/11/2020, information on the use of farmer co-funding was shared with the various stakeholders including district and LLG technical and political staff and farmers who attended the awareness creation meetings that were organized by the LG.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	<p>No procurement and installation of irrigation equipment had been done yet by Bukomansimbi LG.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	No procurement and installation of irrigation equipment had yet been done by the LG.	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	No procurement and installation of irrigation equipment had been done yet by the LG. As such, no hands-on support had been provided yet to the LLG extension workers.	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Bukomansimbi LG had not yet established and run farmer field schools as per the guidelines.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture. Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	According to the MIS app reviewed, the LG has conducted activities to mobilize farmers as per guidelines. The quarter 1 report for the FY 2020/2012 showed pictures of some of these engagements. From the attendance lists seen, farmer mobilization activities took place from 1/9/2020 to 25/9/2020 in the various sun-counties. These meetings were attended by both male and female farmers.	2

11	<p>Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.</p> <p>Maximum score 4</p>	<p>b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0</p>	<p>There was evidence that Bukomansimbi LG trained staff and political leaders at District and LLG levels. Pictures included in quarter 1 report for FY 2020/2021 showed these trainings. Also, the attendance list for the district awareness creation meeting that took place on 27/8/2020 showed the attendance of the Vice Chairperson LC5, Councilors including the Secretary of Production and Secretary for Gender, District Speaker, CAO and several technical staff from the district, and leaders of some farmers' cooperatives in the district.</p> <p>Also, a number of trainings were carried out at sub-county and parish levels in the various sub-counties. These trainings were carried out on 31/8/2020, 2/9/2020, 1/9/2020 and 8/9/2020 in the various sub-counties. Those who attended these trainings included: LC1 Chairmen, Parish Chiefs, Speakers, GISO, Parish Coordinators, LCIII Chairmen or their vice and Councillors.</p>	2
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Investment Management

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>Procurement and installation of irrigation equipment had not yet been done by the LG.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>Soft copies of the applications were seen when MIS app was reviewed. Physical applications are also kept in a file in the Production and Marketing department.</p>	2

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>No farm visits had been done yet by the LG.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>No farmers had been approved yet by the LG.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>There was no evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY because the procurement process had not yet started.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>There was no evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF) because the procurement process had not yet started.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p>There was no evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria because the procurement process had not yet started.</p>	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0</p>	<p>There was no evidence that the micro-scale irrigation systems was approved by the Contracts Committee because the procurement process had not yet started.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p>There was no evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation because the procurement process had not yet started.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>Procurement and installation of irrigation equipment had not been done yet by the LG.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>Procurement and installation of irrigation equipment had not yet been done by the LG.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	<p>Procurement and installation of irrigation equipment had not yet been done by the LG.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Procurement and installation of irrigation equipment had not yet been done by the LG.	0
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Maximum score 18

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was no evidence that the Local Government made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form because no works had been done yet.	0
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Maximum score 18

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	No procurement of irrigation equipment had been done yet by Bukomansimbi LG.	0
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Maximum score 18

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There were no displayed details of the nature and avenues to address grievances on the Production and Marketing noticeboard.	0
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Maximum score 6

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>i). Recorded score 1 or else 0</p> <p>ii). Investigated score 1 or else 0</p> <p>iii). Responded to score 1 or else 0</p> <p>iv). Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no evidence of Grievances Log for the previous FY under Micro-Scale Irrigation.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>ii. Investigated score 1 or else 0</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no evidence of Investigations conducted for the previous FY under Micro-Scale Irrigation.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iii. Responded to score 1 or else 0</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no evidence of responses made for the previous FY under Micro-Scale Irrigation.</p>	0
14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There was no evidence of Reports made on Grievances for the previous FY under Micro-Scale Irrigation.</p>	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	Bukomansimbi LG had not yet disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	There was no evidence of Micro-Scale Irrigation screening carried out for the previous FY.	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	There was no evidence of monitoring Micro-Scale Irrigation for the previous FY.	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no evidence of E&S Certification Forms signed by the Environmental Officer for Micro-Scale Irrigation projects for the previous FY.	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	There was no evidence of E&S Certification Forms signed by the CDO for Micro-Scale Irrigation projects for the previous FY.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation</p> <p>Maximum score is 70</p>	<p>If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.</p>	<p>Bukomansimbi had not recruited a Senior Agriculture Engineer</p>	0
Environment and Social Requirements				
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.</p>	<p>Bukomansimbi DLG did not receive funds for Micro-Scale Irrigation in the previous FY. Thus, no screening was done.</p>	0
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.</p>	<p>Bukomansimbi DLG did not receive funds for Micro-Scale Irrigation in the previous FY. Thus, no ESIA Reports prepared.</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	DWO Buyungo Denis was appointed on 28/10/2010; Ref.01/10/2010 under Min DSC/1/10/2010.	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	AWO-mobilisation Mulumba Abubakar was appointed on 05/5/11; Ref 20/4/2011; under Min DSC/20/04/2011.	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	AWO Matovu Charles was appointed on 01/9/10; Ref. 05/8/2010; under MinDSC/5/8/2010.	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	NRO Lwanga A Bazzekuketa was appointed on 02/6/2016; Ref 13.1/2016; under Min DSC/13.1/2016.	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	This post is vacant. Natural Resources Officer Lwanga is Acting EO. LG has advertised to fill this post dated 03/11/20.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	FO Ndirwami Muhwezi Henry was appointed on 31/5/2016; Ref 6.1/2016; under Minute DSC/6.1/2016.	10

Environment and Social Requirements

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

There was evidence that screening was done for all water infrastructure projects for the previous FY as follows:

1. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the screening form dated 19th October 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius;

2. Construction of Rain Water Harvesting Tanks at St. Peter's P Sch., Kisojjo Parish in Kibinge Sub County and Babiito P Sch., in Bukomansimbi Town Council as per the mitigation plans dated 25th October 2019;

3. Construction of two valley tanks at Makukuulu village, Makukuulu Parish in Kitanda Sub County, and Kayunga village, Kigangazi Parish in Bigasa Sub County as per the mitigation plans dated 25th October 2019;

4. Drilling of two Production Wells at Kagologolo trading center, Mitigyera Parish in Kitanda Sub County, and Butayira trading center, Butayira Parish in Kibinge Sub County as per the mitigation plans dated 25th October 2019; and

5. Construction of Urinal to an existing Public Toilet at Butenga Town Board in Butenga Sub County as per the mitigation plans dated 25th October 2019.

2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	There was no evidence of ESIA Reports prepared for water projects implemented in the previous FY.	0
2	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.	The private firms contracted to construct valley tanks, rainwater tanks, production boreholes, and urinal were procured through competitive bidding and the work was carried out. They did not need any abstraction permits to be issued by DWRM to undertake work.	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a. District Health Officer, score 10 or else 0.</p>	<p>There was no evidence that the district had a DHO.</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>There was no evidence that the district had Assistant District Health Officer Maternal, Child Health and Nursing</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>There was no evidence that the district had a Assistant District Health Officer Environmental Health</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>d. Principal Health Inspector (Senior Environment Officer) , score 10 or else 0.</p>	<p>There was no evidence that the district had a Principal Health Inspector</p>	0

1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>There was evidence that the district had a senior health educator, Walusimbi Richard appointed on 10th January 2018, DSC minute no 31.13/2017. Appraised by Nzanzu Remegio on 15/7/2020</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>There was evidence that the district had a Biostatistician, katongole George William appointed on 15 December 2016, DSC min 24.4/2016, appraised by Dr. kato Alfred Tumusiime on 28/07/2020</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>There was evidence that the district had a District Cold Chain Technician, Juuko Sulaiman appointed on 1 November 2016. DSC min no 19.1/2016. Appraised by Dr. Kato Alfred Tumusiime on 18/08/2020</p>	10
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.</p>		

1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.</p>
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Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>There was no evidence of screening conducted for health projects to be implemented in the current FY.</p>	0
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2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>There was no evidence of screening reports prepared for health projects to be implemented in the current FY.</p>	0
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a) District Education Officer/ Principal Education Officer, score 30 or else 0.</p>	<p>There was evidence that the district had a District Education Officer. Zziwa Patrick appointed on 18th January 2016. DSC MIN no 51.1/2015. Appraised by Masereka Asuman on 15/8/2020</p>	30
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>There was evidence that district had all inspectors of schools</p> <ul style="list-style-type: none"> • Sserugave John Bosco appointed on 2016 DSC min no 17.1/2016 as sports officer. Appraised by ZZiwa Patrick on 30/06/2020 redesigned to inspector of schools • Mutebi Fred (senior inspector of schools) appointed on 29th june 2017. DSC MIN no 14.1/2017, appraised by Zziwa Patrick on 25/06/2020 • Nanyonjo Joweria redesigned on 18th January 2016 DSC min no DSC/MIN.52.1/2015. Appraised by ZZIwa Patrick on 23/06/2020 	40

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that screening was done for Education projects implemented in the previous FY as follows:

1. Construction of two classroom block at Buyinjajinja Parish in Bigasa Sub County as per the screening form dated 24th April 2019;
2. Construction of Bukango Seed Sec Sch., in Bukomansimbi Town Council as per the screening form dated 15th October 2020;
3. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the screening form dated 19th October 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius;
4. Construction of two classroom block at Makoomi – Kakukuulu Parish in Butenga Sub County as per the screening form dated 26th April 2019;
5. Construction of five stances lined pit latrine at Kyansi P Sch., in Butenga Sub County as per the screening form dated 25th April 2019; and
6. Construction of the Rain Water Harvesting Tank with a capacity of 300 M3 at Kyakajwiga P Sch. as per the screening form dated 15th August 2020.

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

There was evidence that ESIAs reports were prepared for Education projects implemented in the previous FY as follows:

1. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the Environment and Social Impact Report Form dated 19th October 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius;
2. Construction of two classroom block at Makoomi – Kakukuulu Parish in Butenga Sub County as per the Environment and Social Impact Report Form dated 26th April 2019;
3. Construction of five stances lined pit latrine at Kyansi P Sch., in Butenga Sub County as per the Environment and Social Impact Report Form dated 25th April 2019;
4. Construction of two classroom block at Buyinjayinja Parish in Bigasa Sub County as per the Environment and Social Impact Report Form dated 24th April 2019; and
5. Construction of the Rain Water Harvesting Tank with a capacity of 300 M3 at Kyakajwiga P Sch. as per the Environment and Social Impact Report Form dated 15th August 2020.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	There was evidence that the district had a CFO. Mr Kikaawa Micheal appointed on 10th march 2014, DSC minute BDLG/DSC 05/2014/5.17.	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	There was evidence that the district had a district planner Namazzi Kevin. Confirmed on 11th June 2012 DSC/MIN.1/FEBRUARY/2012. Appraised by Masereka Asuman on 30/6/2020	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	There was no evidence that the district had a District Engineer	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	There was evidence that the district had a District Natural Resources Officer Lwanga Bazzekuketta Athanasius appointed on transfer on 2/6/2016 DSC MIN 13.1/2016. Appraised by Masereka Amis Asuman on 7/7/2020	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	There was evidence that the district had a District Production Officer, Ssekanwagi George William appointed on 22 February 2019 DSC MIN 2.4/2019. Appraised by Kasozi Sulaiman on 20/07/2020	3

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/ Principal CDO, score 3 or else 0	There was evidence that the district had a District Community Development Officer, Balungi Patrick appointed on promotion on 22 february 2019 DSC MIN no 3.1/2019. Appraised on Masereka Amis Asuman on 16/7/2020	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	There was evidence that the district had a District Commercial Officer, Lunyolo Gatrude appointed on promotion on 10th January 2018 DSC MIN 25.1/2017. Appraised by Masereka Amins Asuman on 16/7/2020	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	other critical staff h (i). A Senior Procurement Officer (Municipal: Procurement Officer) score 2 or else 0.	There was evidence that the district had a Senior Procurement Officer, Kawooya Bashir confirmed on 3rd September 2018 DSC MIN25.1/2018, appraised by Nakafeero Annet Ssali on 16/09/2020	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer), score 2 or else 0	There was evidence that the district had a procurement officer Nassamula Betty confirmed on 2nd june 2016, DSC MIN 14.1/2016. Appraised by kawooya Bashir on 28/08/2020	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	There was evidence that the district had a Principal Human Resource Officer, Nakamoga Mariam Mutemba appointed on promotion on 9th September DSC MIN no DSC/MIN.26.2/AUGUST/2013. Appraised by Masereka Asuman Amis on 30/6/2020	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	There was no evidence that the district had a Senior Environment Officer	0

1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>k. Senior Land Management Officer, score 2 or else 0</p>	<p>There was evidence that the district had a senior land management officer appointed on probation on 6th march 2020, DSC min 5.25/2020. Appraised by Mr Lwanga Athanasius on 04/11/2020</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>l. A Senior Accountant, score 2 or else 0</p>	<p>There was evidence that the district had the equivalent of senior accountant as per there approved structure on 4th july 2017. Ssentongo Robert Jackpot DSC min no 06.1/2019 as senior finance officer, appraised by Klkaawa Micheal on 24/09/2020</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs, score 2 or else 0</p>	<p>There was evidence that the district had a Principal Internal Auditor, Sempungu Ismael appointed on promotion on 8th may 2020, DSC MIN no 7.2/2020</p>	2
1	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.</p> <p>Maximum score is 37.</p>	<p>n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0</p>	<p>There was evidence that the district had a Principal Human Resource Officer (Secretary DSC), Ssendi Karim appointed on promotion on 16th march 2017 DSC min 1./2017.1.</p>	2

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

a. Senior Assistant Secretaries in all LLGS,

score 5 or else 0

There was evidence that the district had all the Senior Assistant Secretaries.

- Ssali Andrew appointed on 30th April 2019, DSC min 09.3/2019, (Kibinge sub county) appraised by Nakafeero Annet Sali on 14/7/2020
- Kizza Daniel Kabaziguruka appointed on 29th 2014, DSC min BDLG/DSC 03.1/2014, (Butenga sub county) appraised by Nakafeero Annet Sali on 17/8/2020
- Kabinga Micheal appointed on 11th june 2012, DSC/MIN.1/FEBRUARY/2012. (Bigasa sub county) appraised on 18/8/2020
- Kibuuka Kizito Swaib appointed on transfer of service on 26th april 2012, DSC/MIN 6/APRIL/2012 (Bukomansimbi town council) Appraised by Kasozi Sulaiman on 30 July 2020

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

If LG has recruited or requested for secondment of:

b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGs

score 5 or else 0.

There was evidence that the district had community development officer or Senior CDO in case of Town Councils, in all LLGs.

- Namujuzi Agnes appointed on 25th September 2019, DSC min 09.1/2019 appraised by lunyolo Gertrude on 29/06/2020
- Kayemba Khan Fred appointed on 27th February 2013, DSC min DSC/MIN.5/FEBRUARY/2013. Appraised by Ssali Andrew on 21/07/2020
- Mugerwa Robert appointed on 18th may 2018, DSC MIN no 12.1/2018. (Bigasa sub county) appraised by Kabinga Micheal on 6/7/2020
- Nassaka Jenet appointed on 7th October 2019, DSC MIN no 27.2/2019. Appraised by lutaaya Ahmed on 2/7/2020
- Nakityo Josphine appointed on 30th April 2019 DSC MIN no 10.1/2019. Appraised by Namujuzi Agnes on 20/07/2020

2	<p>Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG</p> <p>Maximum score is 15</p>	<p>If LG has recruited or requested for secondment of:</p> <p>c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,</p> <p>score 5 or else 0.</p>	<p>There was evidence that the district had Senior Accounts Assistant or an Accounts Assistant in all LLGs.</p> <ul style="list-style-type: none"> • Nanziri Sarah appointed on probation on 6th march 2020 DSC min no 5.23/2020. Appraised by Lutaaya Ahmed on 30/06/2020 • Nantambi Juliet appointed on 3rd September 2018, DSC min no 32.2/2018. Appraised by Kabinga Micheal on 9/7/2020 • Katamba Vicent appointed on promotion on 31st may 2016 DSC MIN no 7.2/2016. Appraised by Ssali Andrew 21/7/2020 • Babame Sam appointed on 6th march 2020 DSC MIN no 4.1/2020 appraised by Kibuuka kizito Swaibu on 24/08/2020 • Tumusiime John appointed on 6th march 2020, DSC MIN NO 4.5/2020. Appraised on 14/7/2020.
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Environment and Social Requirements

3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>a. Natural Resources department,</p> <p>score 2 or else 0</p>	<p>As per the LG Final Account for the period ended 30th June 2020;</p> <p>Natural resources was allocated UGX 92,257,000 and UGX 88,872,300 was released.</p>
3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p>	<p>As per the LG Final Account for the period ended 30th June 2020;</p> <p>Community Based Services was allocated UGX 368,614,000 and UGX 135,435,640 was released.</p>

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening,

score 4 or else 0

There was evidence that screening was done for projects implemented under DDEG for the previous FY as follows:

1. Completion of staff houses at Butenga HC IV in Butenga Sub County as per the screening form dated 15th August 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius;
2. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the screening form dated 19th October 2019; and
3. Phased Construction of Bukomansimbi District Administration Block in Bukomansimbi Town Council as per the screening form dated 15th September 2019.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),

score 4 or 0

There was evidence that ESIAs reports were prepared for projects implemented under DDEG for the previous FY as follows:

1. Completion of staff houses at Butenga HC IV in Butenga Sub County as per the ESIA Report form dated 15th August 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius and the District Community Development Officer – Ms. Lunyolo Gertrude.
2. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the ESIA Report form dated 19th October 2019; and
3. Phased Construction of Bukomansimbi District Administration Block in Bukomansimbi Town Council as per the ESIA Report from dated 15th September 2019.

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);; score 4 or 0	There was evidence that ESMPs with costs were prepared for projects implemented under DDEG for the previous FY as follows: 1. Completion of staff houses at Butenga HC IV in Butenga Sub County as per the ESMP dated 15th August 2019 signed by the District Senior Environmental Officer – Mr. Lwanga Athanasius and the District Community Development Officer – Ms. Lunyolo Gertrude; 2. Construction of five stances lined pit latrine at Bunyenga P Sch., in Kibinge Sub County as per the ESMP dated 19th October 2019; and 3. Phased Construction of Bukomansimbi District Administration Block in Bukomansimbi Town Council as per the ESMP dated 15th September 2019.	4
	Maximum score is 12			

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY. Maximum score is 10	If a LG has a clean audit opinion, score 10; If a LG has a qualified audit opinion, score 5 If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	The assessment will be in January 2021	0
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015). maximum score is 10	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g), score 10 or else 0.	The LG provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the FY 2019/2020 on 12/12/2019.	10

7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>The LG submitted the performance contract on 11/06/2020 before the deadline of 31st August.</p>	4
8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted Annual Performance report on 20/08/2020 before the deadline of 31 August.</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted all the quarterly Budget Performance report (QBPRs) as follows:</p> <ol style="list-style-type: none"> 1. Quarter one 12/12/2019; 2. Quarter two 31/01/2020; 3. Quarter 3 30/04/2020; and 4. Quarter 4. 24 / 08/ 2020 . 	4